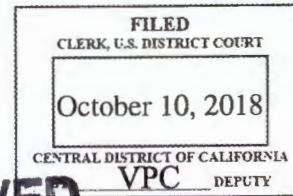


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U.S. DISTRICT COURT
DISTRICT OF NEBRASKA

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U.S. DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA

UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA



I hereby attest and certify on 10/30/18
that the foregoing document is a full, true
and correct copy of the original on file in
my office, and in my legal custody.

CLERK U.S. DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
[Signature]
DEPUTY CLERK

Federal Trade Commission,

Plaintiff,

v.

Jason Cardiff, et al.,

Defendants.

FILED UNDER SEAL

Case No. 18-cv-2104

EX PARTE TEMPORARY
RESTRAINING ORDER WITH
ASSET FREEZE,
APPOINTMENT OF A
TEMPORARY RECEIVER, AND
OTHER EQUITABLE RELIEF,
AND ORDER TO SHOW CAUSE
WHY A PRELIMINARY
INJUNCTION SHOULD NOT
ISSUE

Plaintiff, the Federal Trade Commission, has filed its Complaint for Permanent Injunction and Other Equitable Relief pursuant to Section 13(b) of the Federal Trade Commission Act ("FTC Act"), 15 U.S.C. § 53(b), the Restore Online Shoppers' Confidence Act, ("ROSCA"), 15 U.S.C. §§ 8401-8405, and the Electronic Fund Transfer Act ("EFTA"), 15 U.S.C. §§ 1693-1693r, and Section 6

1 of the Telemarketing and Consumer Fraud and Abuse Prevention Act (the
 2 “Telemarketing Act”), 15 U.S.C. § 6105, and has moved, pursuant to Fed. R. Civ.
 3 P. 65(b), for a temporary restraining order, asset freeze, other equitable relief, and
 4 an order to show cause why a preliminary injunction should not issue against
 5 Defendants Jason Cardiff, Eunjung Cardiff, a/k/a Eunjung Lee, a/k/a Eunjung No,
 6 Danielle Cadiz, a/k/a Danielle Walker, Redwood Scientific Technologies, Inc.
 7 (California), Redwood Scientific Technologies, Inc. (Nevada), Redwood Scientific
 8 Technologies, Inc. (Delaware), Identify, LLC, Advanced Men’s Institute Prolongz
 9 LLC, Run Away Products, LLC, and Carols Place Limited Partnership.

10 FINDINGS OF FACT

11 The Court, having considered the Complaint, the *ex parte* Application for a
 12 Temporary Restraining Order, declarations, exhibits, and the memorandum of
 13 points and authorities filed in support thereof, and being otherwise advised, finds
 14 that:

15 A. This Court has jurisdiction over the subject matter of this case, and
 16 there is good cause to believe that it will have jurisdiction over all parties hereto
 17 and that venue in this district is proper.

18 B. In numerous instances, Defendants have misrepresented the
 19 effectiveness of their dissolvable film strip products for smoking cessation, weight
 20 loss, and improved male sexual performance, thereby misleading vulnerable
 21 consumers. Defendants have then further injured many consumers by placing
 22 them on unauthorized continuity plans that resulted in additional charges to their
 23 credits cards or withdrawals from their debit accounts. Defendants have also made
 24 false earnings claims as part of a multilevel marketing plan, and illegally caused
 25 more than one million robocalls to be made to consumers’ telephones.

26 C. There is good cause to believe that Defendants Jason Cardiff, Eunjung
 27 Cardiff, Danielle Cadiz, Redwood Scientific Technologies, Inc. (California),
 28 Redwood Scientific Technologies, Inc. (Nevada), Redwood Scientific

Technologies, Inc. (Delaware), Identify, LLC, Advanced Men's Institute Prolongz LLC, Run Away Products, LLC, and Carols Place Limited Partnership have engaged in and are likely to engage in acts or practices that violate Sections 5(a) and 12 of the FTC Act, Section 4 of ROSCA, Section 907(a) of EFTA, EFTA's implementing Regulation E, and the Telemarketing Sales Rule ("TSR"), and that Plaintiff is therefore likely to prevail on the merits of this action. As demonstrated by Defendants' own advertising and communications, consumer complaints, declarations, and the additional documentation filed by the FTC, the Commission has established a likelihood of success in showing that Defendants have deceptively marketed TBX-FREE, Eupepsia Thin, and Prolongz, placed consumers on continuity plans without their prior authorization, charged consumers' credit cards and debited their bank accounts without authorization, caused robocalls to be made to more than one million consumers to induce the sale of goods or services, and misrepresented the earnings that people who join their multi-level marketing program are likely to make.

D. The FTC is likely to succeed in showing that Corporate Defendants Redwood Scientific Technologies, Inc. (California), Redwood Scientific Technologies, Inc. (Nevada), Redwood Scientific Technologies, Inc. (Delaware), Identify, LLC, Advanced Men's Institute Prolongz LLC, Run Away Products, LLC, and Carols Place Limited Partnership operate as a common enterprise and are the alter egos of Jason Cardiff and Eunjung Cardiff.

E. There is good cause to believe that immediate and irreparable harm will result from Defendants' ongoing violations of the FTC Act, ROSCA, EFTA and Regulation E, and the TSR unless Defendants are restrained and enjoined by order of this Court.

F. There is good cause to believe that immediate and irreparable damage to the Court's ability to grant effective final relief for consumers – including monetary restitution, rescission, or disgorgement – will occur from the sale,

1 transfer, destruction or other disposition or concealment by Defendants of their
 2 assets or records, unless Defendants are immediately restrained and enjoined by
 3 order of this Court; and that, in accordance with Fed. R. Civ. P. 65(b) and Local
 4 Rule 7-19.2, the interests of justice require that this Order be granted without prior
 5 notice to Defendants. Thus, there is good cause for relieving Plaintiff of the duty
 6 to provide Defendants with prior notice of its Motion for a Temporary Restraining
 7 Order.

8 G. Good cause exists for freezing the assets of all Defendants, appointing
 9 a temporary receiver over the Receivership Entities and over the assets of Jason
 10 Cardiff and Eunjung Cardiff, permitting Plaintiff and the Receiver immediate
 11 access to the Defendants' business premises, and permitting Plaintiff and the
 12 Receiver to take expedited discovery.

13 H. Weighing the equities and considering Plaintiff's likelihood of
 14 ultimate success on the merits, a temporary restraining order with an asset freeze,
 15 the appointment of a temporary receiver, immediate access to business premises,
 16 expedited discovery, and other equitable relief is in the public interest.

17 I. This Court has authority to issue this Order pursuant to Section 13(b)
 18 of the FTC Act, 15 U.S.C. § 53(b), Federal Rule of Civil Procedure 65, and the All
 19 Writs Act, 28 U.S.C. § 1651.

20 J. No security is required of any agency of the United States for issuance
 21 of a temporary restraining order. Fed. R. Civ. P. 65(c).

22 DEFINITIONS

23 For the purpose of this Order, the following definitions shall apply:

24 A. "Asset" means any legal or equitable interest in, right to, or claim to,
 25 any property, wherever located and by whomever held.

26 B. "Continuity Program" means any plan, arrangement, or system under
 27 which a consumer is periodically charged for products or services, without prior
 28 notification by the seller before each charge.

1 C. "Corporate Defendant(s)" means Redwood Scientific Technologies,
2 Inc. (California), Redwood Scientific Technologies, Inc. (Nevada), Redwood
3 Scientific Technologies, Inc. (Delaware), Identify, LLC, Advanced Men's Institute
4 Prolongz LLC, Run Away Products, LLC, and Carols Place Limited Partnership,
5 and each of their subsidiaries, affiliates, successors, and assigns.

6 D. "Defendant(s)" means Corporate Defendants, Jason Cardiff, Eunjung
7 Cardiff, and Danielle Cadiz, individually, collectively, or in any combination.

8 E. "Document" is synonymous in meaning and equal in scope to the
9 usage of "document" and "electronically stored information" in Federal Rule of
10 Civil Procedure 34(a), Fed. R. Civ. P. 34(a), and includes writings, drawings,
11 graphs, charts, photographs, sound and video recordings, images, Internet sites,
12 web pages, websites, electronic correspondence, including email and instant
13 messages, contracts, accounting data, advertisements, FTP Logs, Server Access
14 Logs, books, written or printed records, handwritten notes, telephone logs,
15 telephone scripts, receipt books, ledgers, personal and business canceled checks
16 and check registers, bank statements, appointment books, computer records,
17 customer or sales databases, and any other electronically stored information,
18 including Documents located on remote servers or cloud computing systems, and
19 other data or data compilations from which information can be obtained directly or,
20 if necessary, after translation into a reasonably usable form. A draft or non-
21 identical copy is a separate document within the meaning of the term.

22 F. "Electronic Data Host" means any person or entity in the business of
23 storing, hosting, or otherwise maintaining electronically stored information. This
24 includes, but is not limited to, any entity hosting a website or server, and any entity
25 providing "cloud based" electronic storage.

26 G. "Individual Defendant(s)" means Jason Cardiff, Eunjung Cardiff, and
27 Danielle Cadiz, individually, collectively, or in any combination.

28 H. "Negative Option" means, in an offer or agreement to sell or provide

1 any good or service, a provision under which the consumer's silence or failure to
 2 take an affirmative action to reject a good or service or to cancel the agreement is
 3 interpreted by the seller or provider as acceptance or continuing acceptance of the
 4 offer or agreement.

5 I. "Person" means a natural person, organization, or other legal entity,
 6 including a corporation, partnership, proprietorship, association, cooperative, or
 7 any other group or combination acting as an entity.

8 J. "Preauthorized Electronic Fund Transfer" means an electronic fund
 9 transfer authorized in advance to recur at substantially regular intervals.

10 K. "Receiver" means the temporary receiver appointed in Section XV of
 11 this Order and any deputy receivers that shall be named by the temporary receiver.

12 L. "Receivership Entities" means Corporate Defendants as well as any
 13 other entity that has conducted any business related to Defendants' marketing and
 14 sale of dissolvable film strips and promotion of the Rengalife multilevel marketing
 15 program, including receipt of Assets derived from any activity that is the subject of
 16 the Complaint in this matter, and that the Receiver determines is controlled or
 17 owned by any Defendant.

18 M. "Receivership Property" means any Assets, wherever located, that are:
 19 (1) owned, controlled, or held by or for the benefit of the Receivership Entities,
 20 Jason Cardiff, or Eunjung Cardiff, in whole or in part; (2) in the actual or
 21 constructive possession of the Receivership Entities, Jason Cardiff, or Eunjung
 22 Cardiff; or (3) owned, controlled, or held by, or in the actual or constructive
 23 possession of, or otherwise held for the benefit of, any corporation, partnership,
 24 trust, or other entity directly or indirectly owned or controlled by the Receivership
 25 Entities, Jason Cardiff, or Eunjung Cardiff, including the Jurikel Family Trust, and
 26 Carols Place Trust.

27 ORDER

28 I. PROHIBITED BUSINESS ACTIVITIES

1 **IT IS THEREFORE ORDERED** that Defendants, Defendants' officers,
2 agents, employees, and attorneys, and all other persons in active concert or
3 participation with them, who receive actual notice of this Order by personal service
4 or otherwise, whether acting directly or indirectly, in connection with the
5 advertising, marketing, promoting, or offering for sale of any goods, services, or
6 programs are temporarily restrained and enjoined from misrepresenting or assisting
7 others in misrepresenting, expressly or by implication:

8 A. Any material fact about TBX-FREE, Eupepsia Thin, or Prolongz,
9 including, but not limited to:

- 10 1. That TBX-FREE is an effective smoking cessation product;
- 11 2. That TBX-FREE is more effective than either nicotine patches
12 or nicotine gum in enabling cigarette smokers to stop smoking;
- 13 3. That TBX-FREE enables many cigarette smokers to quit in
14 seven to ten days;
- 15 4. That TBX-FREE has an 88% success rate, including among
16 people who have smoked cigarettes for more than five years;
- 17 5. That smokers should not need to purchase more than one month
18 of TBX-FREE;
- 19 6. That clinical studies have been conducted on TBX-FREE, and
20 have shown that TBX-FREE is an effective smoking cessation
21 product;
- 22 7. That TBX-FREE has been proven in clinical studies to be more
23 effective than nicotine patches or nicotine gum in enabling
24 smokers to stop smoking;
- 25 8. That clinical studies of TBX-FREE conducted on 10,600 people
26 have shown that TBX-FREE has an "88% success rate";
- 27 9. That The New England Journal of Medicine ("NEJM"),
28 Harvard Health Publications, and Johns Hopkins University

1 have published clinical studies proving that TBX-FREE is an
2 effective smoking cessation product;

3 10. That NEJM's clinical studies showed that TBX-FREE is ten
4 times more effective for smoking cessation than nicotine
5 replacement therapy;

6 11. That Eupepsia Thin is an effective appetite suppressant and
7 weight loss aid;

8 12. That Eupepsia Thin starts working in less than 20 seconds, and
9 suppresses a user's appetite within minutes;

10 13. That Eupepsia Thin enables users to lose 10, 20, or even 100
11 pounds without dieting, giving up their favorite foods, or
12 increasing their exercise;

13 14. That Eupepsia Thin users can lose 15 pounds their first month
14 without dieting or changing their food or lifestyle;

15 15. That Eupepsia Thin users can lose as much as 20 pounds in one
16 month and as much as 50 pounds in three months;

17 16. That Eupepsia Thin is more effective at causing weight loss
18 than conventional calorie reduction and meal plans;

19 17. That Eupepsia Thin enables consumers to avoid gaining back
20 weight they lose, without any lifestyle changes.

21 18. That clinical studies have been conducted on EupepsiaThin and
22 those studies show that it is an effective appetite suppressant
23 and weight loss aid;

24 19. That Prolongz substantially increases ejaculation control and
25 the duration of sex;

26 20. That Prolongz treats or prevents premature ejaculation;

27 21. That Prolongz is clinically proven to increase ejaculation
28 control and the duration of sex for more than 97% of users;

22. That Eupepsia Thin is made in the United States;

23. That individuals appearing in advertising for Eupepsia Thin used that product successfully to lose weight; and

24. That consumers who are not satisfied with the product they purchased will get their money back;

B. Any material fact about any multi-level marketing plan, including, but not limited to, the income that participants in the plan are likely to earn; and

C. Any other fact material to consumers concerning any good or service, such as: the total costs; any material restrictions, limitations, or conditions; or any material aspect of its performance, efficacy, nature, or central characteristics.

II. PROHIBITIONS AGAINST UNFAIR AND DECEPTIVE NEGATIVE OPTION MARKETING PRACTICES

IT IS FURTHER ORDERED that Defendants, Defendants' officers, agents, employees, and attorneys, and all other persons in active concert or participation with any of them, who receive actual notice of this Order, whether acting directly or indirectly, in connection with the sale of any good or service are temporarily restrained and enjoined from charging, causing to be charged, assisting others in charging, or attempting to charge any consumer in any sale of a good or service sold through a negative option without:

A. Clearly and conspicuously disclosing all material terms of the negative option features before obtaining the consumer's billing information;

B. Obtaining a consumer's express informed consent, written or similarly authorized, to the negative option features before making any charge; and

C. Providing a simple mechanism for a consumer to stop recurring charges from being placed on the consumer's credit card, debit card, or other financial account.

1 **III. PROHIBITIONS AGAINST UNAUTHORIZED CHARGES**

2 **IT IS FURTHER ORDERED** that Defendants, Defendants' officers,
3 agents, employees, and attorneys, and all other persons in active concert or
4 participation with any of them, who receive actual notice of this Order, whether
5 acting directly or indirectly, are temporarily restrained and enjoined from charging,
6 causing to be charged, assisting others in charging, or attempting to charge any
7 consumer for any good or service without first obtaining the consumer's express
8 informed consent, written or similarly authorized, to the charge.

9 **IV. PROHIBITIONS AGAINST DEBITING CONSUMERS' BANK** 10 **ACCOUNTS WITHOUT AUTHORIZATION**

11 **IT IS FURTHER ORDERED** that Defendants, Defendants' officers,
12 agents, employees, and attorneys, and all other persons in active concert or
13 participation with any of them, who receive actual notice of this Order, whether
14 acting directly or indirectly, in connection with the sale of any good or service, are
15 temporarily restrained and enjoined from:

16 A. Failing to timely obtain written authorization signed or similarly
17 authenticated by the consumer for any Preauthorized Electronic Fund Transfer
18 from a consumer's account before initiating any Preauthorized Electronic Fund
19 Transfer; and

20 B. Failing to provide to the consumer a copy of a valid written
21 authorization signed or similarly authenticated by the consumer for any
22 Preauthorized Electronic Fund Transfer from a consumer's account.

24 **V. PROHIBITION OF PRERECORDED MARKETING CALLS**

25 **IT IS FURTHER ORDERED** that Defendants, Defendants' officers,
26 agents, employees, and attorneys, and all other persons in active concert or
27 participation with any of them, who receive actual notice of this Order, whether
28 acting directly or indirectly, are hereby temporarily restrained and enjoined from

1 initiating or causing the initiation of outbound telephone calls delivering
 2 prerecorded messages to induce the sale of goods or services.

3 **VI. PROHIBITION ON RELEASE OF CUSTOMER INFORMATION**

4 **IT IS FURTHER ORDERED** that Defendants, Defendants' officers,
 5 agents, employees, and attorneys, and all other persons in active concert or
 6 participation with any of them, who receive actual notice of this Order, whether
 7 acting directly or indirectly, are hereby temporarily restrained and enjoined from:

8 A. Selling, renting, leasing, transferring, or otherwise disclosing, the
 9 name, address, birth date, telephone number, email address, credit card number,
 10 bank account number, Social Security number, or other financial or identifying
 11 information of any person that any Defendant obtained in connection with any
 12 activity that pertains to the subject matter of this Order; and

13 B. Benefitting from or using the name, address, birth date, telephone
 14 number, email address, credit card number, bank account number, Social Security
 15 number, or other financial or identifying information of any person that any
 16 Defendant obtained in connection with any activity that pertains to the subject
 17 matter of this Order.

18 Provided, however, that Defendants may disclose such identifying
 19 information to a law enforcement agency, to their attorneys as required for their
 20 defense, as required by any law, regulation, or court order, or in any filings,
 21 pleadings or discovery in this action in the manner required by the Federal Rules of
 22 Civil Procedure and by any protective order in the case.

23
 24 **VII. ASSET FREEZE**

25 **IT IS FURTHER ORDERED** that Defendants and their officers, agents,
 26 employees, and attorneys, and all other persons in active concert or participation
 27 with any of them, who receive actual notice of this Order, whether acting directly
 28 or indirectly, are hereby temporarily restrained and enjoined from:

1 A. Transferring, liquidating, converting, encumbering, pledging, loaning,
2 selling, concealing, dissipating, disbursing, assigning, relinquishing, spending,
3 withdrawing, granting a lien or security interest or other interest in, or otherwise
4 disposing of any Assets that are:

- 5 1. Owned or controlled, directly or indirectly, by any Defendant,
6 including, but not limited to, those for which a Defendant is a
7 signatory on the account;
- 8 2. Held, in part or in whole, for the benefit of any Defendant;
- 9 3. In the actual or constructive possession of any Defendant; or
- 10 4. Owned or controlled by, in the actual or constructive possession
11 of, or otherwise held for the benefit of, any corporation,
12 partnership, asset protection trust, or other entity that is directly
13 or indirectly owned, managed or controlled by any Defendant.

14 B. Opening or causing to be opened any safe deposit boxes, commercial
15 mail boxes, or storage facilities titled in the name of any Defendant or subject to
16 access by any Defendant, except as necessary to comply with written requests from
17 the Receiver acting pursuant to its authority under this Order;

18 C. Incurring charges or cash advances on any credit, debit, or ATM card
19 issued in the name, individually or jointly, of any Corporate Defendant or any
20 corporation, partnership, or other entity directly or indirectly owned, managed, or
21 controlled by any Defendant, or of which any Defendant is an officer, director,
22 member, or manager. This includes any corporate bankcard or corporate credit
23 card account for which any Defendant is, or was on the date that this Order was
24 signed, an authorized signer; or

25 D. Cashing any checks or depositing any money orders or cash received
26 from consumers, clients, or customers of any Defendant;

27 The Assets affected by this Section shall include: (1) all Assets of Defendants as
28 of the time this Order is entered; and (2) Assets obtained by Defendants after this

Order is entered if those Assets are derived from any activity that is the subject of the Complaint in this matter or that is prohibited by this Order; and (3) all Assets owned or controlled, directly or indirectly, by Jason Cardiff, Eunjung Cardiff, the Jurikel Family Trust, or Carols Place Trust. This Section does not prohibit any transfers to the Receiver or repatriation of foreign Assets specifically required by this Order.

VIII. DUTIES OF ASSET HOLDERS AND OTHER THIRD PARTIES

IT IS FURTHER ORDERED that any financial or brokerage institution, Electronic Data Host, credit card processor, payment processor, merchant bank, acquiring bank, independent sales organization, third party processor or vendor, payment gateway, insurance company, business entity, or person who receives actual notice of this Order (by service or otherwise) that:

(a) has held, controlled, or maintained custody, through an account or otherwise, of any Document on behalf of any Defendant or any Asset that has been owned or controlled, directly or indirectly, by any Defendant; held, in part or in whole, for the benefit of any Defendant; in the actual or constructive possession of any Defendant; or owned or controlled by, in the actual or constructive possession of, or otherwise held for the benefit of, any corporation, partnership, asset protection trust, or other entity that is directly or indirectly owned, managed or controlled by any Defendant;

(b) has held, controlled, or maintained custody, through an account or otherwise, of any Document or Asset associated with credits, debits, or charges made on behalf of any Defendant, including reserve funds held by payment processors, credit card processors, merchant banks, acquiring banks, independent sales

1 organizations, third party processors or vendors, payment
2 gateways, insurance companies, or other entities; or

3 (c) has extended credit to any Defendant, including through a credit
4 card account, shall:

5 A. Hold, preserve, and retain within its control and prohibit the
6 withdrawal, removal, alteration, assignment, transfer, pledge, encumbrance,
7 disbursement, dissipation, relinquishment, conversion, sale, or other disposal of
8 any such Document or Asset, as well as all Documents or other property related to
9 such Assets, except by further order of this Court;

10 B. Deny any person, except the Receiver, access to any safe deposit box,
11 commercial mail box, or storage facility that is titled in the name of any Defendant,
12 either individually or jointly, or otherwise subject to access by any Defendant;

13 C. Provide Plaintiff's counsel and the Receiver, within three (3) days of
14 receiving a copy of this Order, a sworn statement setting forth:

- 15 1. The identification number of each such account or Asset;
- 16 2. The balance of each such account, or a description of the nature
17 and value of each such Asset as of the close of business on the
18 day on which this Order is served, and, if the account or other
19 Asset has been closed or removed, the date closed or removed,
20 the total funds removed in order to close the account, and the
21 name of the person or entity to whom such account or other
22 Asset was remitted; and
- 23 3. The identification of any safe deposit box, commercial mail
24 box, or storage facility that is either titled in the name,
25 individually or jointly, of any Defendant, or is otherwise subject
26 to access by any Defendant; and

27 D. Upon the request of Plaintiff's counsel or the Receiver, promptly
28 provide Plaintiff's counsel and the Receiver with copies of all records or other

1 Documents pertaining to any account covered by this Section or Asset, including
 2 originals or copies of account applications, account statements, signature cards,
 3 checks, drafts, deposit tickets, transfers to and from the accounts, including wire
 4 transfers and wire transfer instructions, all other debit and credit instruments or
 5 slips, currency transaction reports, 1099 forms, and all logs and records pertaining
 6 to safe deposit boxes, commercial mail boxes, and storage facilities.

7 Provided, however, that this Section does not prohibit any transfers to the Receiver
 8 or repatriation of foreign Assets specifically required by this Order.

9 **IX. FINANCIAL DISCLOSURES**

10 **IT IS FURTHER ORDERED** that each Defendant, within five (5) days of
 11 service of this Order upon them, shall prepare and deliver to Plaintiff's counsel and
 12 the Receiver:

13 A. Completed financial statements on the forms attached to this Order as
 14 **Attachment A** (Financial Statement of Individual Defendant) for each Individual
 15 Defendant, and **Attachment B** (Financial Statement of Corporate Defendant) for
 16 each Corporate Defendant and for Carols Place Trust and the Jurikel Family Trust;
 17 and

18 B. Completed **Attachment C** (IRS Form 4506, Request for Copy of a
 19 Tax Return) for each Individual Defendant and Corporate Defendant.

23 **X. FOREIGN ASSET REPATRIATION**

24 **IT IS FURTHER ORDERED** that within five (5) days following the
 25 service of this Order, Jason Cardiff, Eunjung Cardiff, Carols Place Trust, and each
 26 Corporate Defendant shall:

27 A. Provide Plaintiff's counsel and the Receiver with a full accounting,
 28 verified under oath and accurate as of the date of this Order, of all Assets,

1 Documents, and accounts outside of the United States that are: (1) titled in the
 2 name, individually or jointly, of any Defendant; (2) held by any person or entity
 3 for the benefit of any Defendant or for the benefit of, any corporation, partnership,
 4 asset protection trust, or other entity that is directly or indirectly owned, managed
 5 or controlled by any Defendant; or (3) under the direct or indirect control, whether
 6 jointly or singly, of any Defendant;

7 B. Take all steps necessary to provide the Receiver and Plaintiff's
 8 counsel access to all Documents and records that may be held by third parties
 9 located outside of the territorial United States of America, including signing the
 10 Consent to Release of Financial Records appended to this Order as **Attachment D**.

11 C. Transfer to the territory of the United States and deliver to the
 12 Receiver all Documents and Assets located in foreign countries that are: (1) titled
 13 in the name, individually or jointly, of any Defendant, or any trust or other entity
 14 for which any Defendant is a beneficiary or trustee; (2) held by any person or
 15 entity for the benefit of any Defendant or for the benefit of any corporation,
 16 partnership, asset protection trust, or other entity that is directly or indirectly
 17 owned, managed or controlled by any Defendant; or (3) under the direct or indirect
 18 control, whether jointly or singly, of any Defendant; and

19 D. The same business day as any repatriation, (1) notify the Receiver and
 20 Plaintiff's counsel of the name and location of the financial institution or other
 21 entity that is the recipient of such Documents or Assets; and (2) serve this Order on
 22 any such financial institution or other entity.

23 **XI. NON-INTERFERENCE WITH ASSET FREEZE AND**
 24 **REPATRIATION**

25 **IT IS FURTHER ORDERED** that Defendants, Defendants' officers,
 26 agents, employees, and attorneys, and all other persons in active concert or
 27 participation with any of them, who receive actual notice of this Order, whether
 28 acting directly or indirectly, are hereby temporarily restrained and enjoined from

1 taking any action, directly or indirectly, which may result in the encumbrance,
 2 transfer, relocation, or dissipation of domestic or foreign Assets, or in the
 3 hindrance of the repatriation required by this Order, including, but not limited to:

4 A. Sending any communication or engaging in any other act, directly or
 5 indirectly, that results in a determination by a foreign trustee or other entity that a
 6 “duress” event has occurred under the terms of a foreign trust agreement until such
 7 time that all Defendants’ Assets have been fully repatriated pursuant to this Order;
 8 or

9 B. Notifying any trustee, protector, or other agent of any foreign trust or
 10 other related entities of either the existence of this Order, or of the fact that
 11 repatriation is required pursuant to a court order, until such time that all
 12 Defendants’ Assets have been fully repatriated pursuant to this Order.

13 **XII. CONSUMER CREDIT REPORTS**

14 **IT IS FURTHER ORDERED** that Plaintiff may obtain credit reports
 15 concerning any Defendants pursuant to Section 604(a)(1) of the Fair Credit
 16 Reporting Act, 15 U.S.C. 1681b(a)(1), and that, upon written request, any credit
 17 reporting agency from which such reports are requested shall provide them to
 18 Plaintiff.

19 **XIII. PRESERVATION OF RECORDS**

20 **IT IS FURTHER ORDERED** that Defendants, Defendants’ officers,
 21 agents, employees, and attorneys, and all other persons in active concert or
 22 participation with any of them, who receive actual notice of this Order, whether
 23 acting directly or indirectly, are hereby temporarily restrained and enjoined from:

24 A. Destroying, erasing, falsifying, writing over, mutilating, concealing,
 25 altering, transferring, or otherwise disposing of, in any manner, directly or
 26 indirectly, Documents that relate to: (1) the business, business practices, Assets, or
 27 business or personal finances of any Defendant; (2) the business practices or
 28 finances of entities directly or indirectly under the control of any Defendant; or (3)

1 the business practices or finances of entities directly or indirectly under common
2 control with any other Defendant; and

3 B. Failing to create and maintain Documents that, in reasonable detail,
4 accurately, fairly, and completely reflect Defendants' incomes, disbursements,
5 transactions, and use of Defendants' Assets.

6 **XIV. REPORT OF NEW BUSINESS ACTIVITY**

7 **IT IS FURTHER ORDERED** that Defendants, Defendants' officers,
8 agents, employees, and attorneys, and all other persons in active concert or
9 participation with any of them, who receive actual notice of this Order, whether
10 acting directly or indirectly, are hereby temporarily restrained and enjoined from
11 creating, operating, or exercising any control over any business entity, whether
12 newly formed or previously inactive, including any partnership, limited
13 partnership, joint venture, sole proprietorship, or corporation, without first
14 providing Plaintiff's counsel and the Receiver with a written statement disclosing:
15 (1) the name of the business entity; (2) the address and telephone number of the
16 business entity; (3) the names of the business entity's officers, directors, principals,
17 managers, and employees; and (4) a detailed description of the business entity's
18 intended activities.

19
20
21
22 **XV. TEMPORARY RECEIVER**

23 **IT IS FURTHER ORDERED** that Robb Evans & Associates, LLC is
24 appointed as temporary receiver of the Receivership Entities and of the assets of
25 Jason Cardiff and Eunjung Cardiff that are:

- 26 1. Owned, controlled or held by or for the benefit of Jason Cardiff
27 or Eunjung Cardiff, in whole or in part;
28

2. In the actual or constructive possession of Jason Cardiff or Eunjung Cardiff; or
3. Owned, controlled or held by, or in the actual or constructive possession of, or otherwise held for the benefit of, any corporation, partnership, trust, or other entity directly or indirectly owned or controlled by Jason Cardiff or Eunjung Cardiff;

with full powers of an equity receiver. The Receiver shall be solely the agent of this Court in acting as Receiver under this Order.

XVI. DUTIES AND AUTHORITY OF RECEIVER

IT IS FURTHER ORDERED that the Receiver is directed and authorized to accomplish the following:

A. Assume full control of the Receivership Entities by removing, as the Receiver deems necessary or advisable, any director, officer, independent contractor, employee, attorney, or agent of any Receivership Entity from control of, management of, or participation in, the affairs of the Receivership Entity;

B. Take exclusive custody, control, and possession of all Assets and Documents of, or in the possession, custody, or under the control of, any Receivership Entity and all Assets of Jason Cardiff and Eunjung Cardiff covered by Part XV of this Order, wherever situated, except for real property used as the residence of Jason Cardiff and Eunjung Cardiff;

C. Take exclusive custody, control, and possession of all Documents or Assets associated with credits, debits, or charges made on behalf of any Receivership Entity, wherever situated, including reserve funds held by payment processors, credit card processors, merchant banks, acquiring banks, independent sales organizations, third party processors, payment gateways, insurance companies, or other entities;

1 D. Conserve, hold, manage, and prevent the loss of all Receivership
 2 Property, and perform all acts necessary or advisable to preserve the value of those
 3 Assets. The Receiver shall assume control over the income and profits therefrom
 4 and all sums of money now or hereafter due or owing to the Receivership Entities.
 5 The Receiver shall have full power to sue for, collect, and receive, all Receivership
 6 Property and all Assets of other persons or entities whose interests are now under
 7 the direction, possession, custody, or control of, the Receivership Entities or of
 8 Jason Cardiff or Eunjung Cardiff. Provided, however, that the Receiver shall not
 9 attempt to collect any amount from a consumer if the Receiver believes the
 10 consumer's debt to the Receivership Entities has resulted from the deceptive acts
 11 or practices or other violations of law alleged in the Complaint in this matter,
 12 without prior Court approval;

13 E. Take exclusive custody, control, and possession of the following
 14 valuable articles in the possession, custody, or under the control of, Defendants
 15 Jason Cardiff, Eunjung Cardiff, or Carols Place Limited Partnership, wherever
 16 located:

- 17 1. Ladies 14K yellow gold and diamond ring. Insured for
 18 \$11,813.
- 19 2. Ladies diamond pendent setting 14 KT. Insured for \$23,730.
- 20 3. Ladies Diamond Stud Earrings. Insured for \$34,125.
- 21 4. Ladies Diamond Fancy Ring. Insured for \$31,763.
- 22 5. Mens Roadster SM WG/WG Paved Bezel. Insured for
 23 \$32,550.
- 24 6. Ladies handmade platinum diamond bracelet. Insured for
 25 \$46,725
- 26 7. Mens GTS 18KT white gold Daytona Rolex. Insured for
 27 \$42,000.

8. 5.08 ct round diamond I color S12 Clarity EGL platinum ring. Insured for \$102,076.
9. Mens Rolex Yacht-Master 18K gold watch. Insured for \$14,125.
10. Ladies Love Bra yellow gold 4 dia[] 17 cm. Insured for \$9,819.
11. Ladies yellow gold ring, Serial #UD0824. Insured for \$2,284.
12. Ladies fancy diamond bracelet. Insured for \$39,397.
13. Mens Rolex watch 18KT gold Pearlmaster. Insured for \$33,180.
14. Tiffany pearl bracelet. Insured for \$3,166.
15. Ladies emerald and diamond ring. Insured for \$24,856.
16. IWC Portofino moon phase watch. Insured for \$8,000.
17. Pre-owner Ladies stainless steel Patek Phili[ppe]. Insured for \$8,145.
18. Rolex Vintage Thund[er]. Insured for \$9,000.
19. Stuart Moore "Aronade" platinum diamond. Insured for \$12,650.
20. Peter Philippe annual calendar wristwatch. Insured for \$41,300.
21. 18K yellow gold Tiffany Diamond Bracelet. #B0164. Insured for \$7,600.
22. "Living Room" Artist Romero Britto. Insured for \$12,600.
23. Hermes Birkin bag, size 35 (Togo leather; in Sienna color). Insured for \$20,000.
24. Hermes Birkin bag, size 35 (Togo leather; Curry). Insured for \$20,000.
25. Ladies ring round center stone 8.5 cts, VS2 with diamonds. Insured for \$532,000.

1 26. MenOCOs Patek Philippe gold calendar watch model 5035J.
2 Insured for \$28,500.

3 Defendants Jason Cardiff and Eunjung Cardiff shall deliver all of the foregoing
4 articles to the Receiver at a place and time to be determined by the Receiver.

5 F. Obtain, conserve, hold, manage, and prevent the loss of all Documents
6 of the Receivership Entities, and perform all acts necessary or advisable to
7 preserve such Documents. The Receiver shall: divert mail; preserve all
8 Documents of the Receivership Entities that are accessible via electronic means
9 (such as online access to financial accounts and access to electronic documents
10 held onsite or by Electronic Data Hosts, by changing usernames, passwords or
11 other log-in credentials; take possession of all electronic Documents of the
12 Receivership Entities stored onsite or remotely; take whatever steps necessary to
13 preserve all such Documents; and obtain the assistance of the FTC's Digital
14 Forensic Unit for the purpose of obtaining electronic documents stored onsite or
15 remotely.

16 G. Choose, engage, and employ attorneys, accountants, appraisers, and
17 other independent contractors and technical specialists, as the Receiver deems
18 advisable or necessary in the performance of duties and responsibilities under the
19 authority granted by this Order;

20 H. Make payments and disbursements from the receivership estate that
21 are necessary or advisable for carrying out the directions of, or exercising the
22 authority granted by, this Order, and to incur, or authorize the making of, such
23 agreements as may be necessary and advisable in discharging his or her duties as
24 Receiver. The Receiver shall apply to the Court for prior approval of any payment
25 of any debt or obligation incurred by the Receivership Entities prior to the date of
26 entry of this Order, except payments that the Receiver deems necessary or
27 advisable to secure Assets of the Receivership Entities, such as rental payments;
28

1 I. Take all steps necessary to secure and take exclusive custody of each
2 location from which the Receivership Entities operate their businesses. Such steps
3 may include, but are not limited to, any of the following, as the Receiver deems
4 necessary or advisable: (1) securing the location by changing the locks and alarm
5 codes and disconnecting any Internet access or other means of access to the
6 computers, servers, internal networks, or other records maintained at that location;
7 and (2) requiring any persons present at the location to leave the premises, to
8 provide the Receiver with proof of identification, and/or to demonstrate to the
9 satisfaction of the Receiver that such persons are not removing from the premises
10 Documents or Assets of the Receivership Entities, including, but not limited to,
11 telephones, computers, and tablets paid for by the Receivership Entities. Law
12 enforcement personnel, including, but not limited to, police or sheriffs, may assist
13 the Receiver in implementing these provisions in order to keep the peace and
14 maintain security. If requested by the Receiver, the United States Marshal will
15 provide appropriate and necessary assistance to the Receiver to implement this
16 Order and is authorized to use any necessary and reasonable force to do so;

17 J. Take all steps necessary to prevent the modification, destruction, or
18 erasure of any web page or website registered to and operated, in whole or in part,
19 by any Defendants, and to provide access to all such web page or websites to
20 Plaintiff's representatives, agents, and assistants, as well as Defendants and their
21 representatives;

22 K. Enter into and cancel contracts and purchase insurance as advisable or
23 necessary;

24 L. Prevent the inequitable distribution of Assets and determine, adjust,
25 and protect the interests of consumers who have transacted business with the
26 Receivership Entities;

1 M. Make an accounting, as soon as practicable, of the Assets and
2 financial condition of the receivership and file the accounting with the Court and
3 deliver copies thereof to all parties;

4 N. Institute, compromise, adjust, appear in, intervene in, defend, dispose
5 of, or otherwise become party to any legal action in state, federal or foreign courts
6 or arbitration proceedings as the Receiver deems necessary and advisable to
7 preserve or recover the Assets of the Receivership Entities, or to carry out the
8 Receiver's mandate under this Order, including, but not limited to, actions
9 challenging fraudulent or voidable transfers;

10 O. Issue subpoenas to obtain Documents and records pertaining to the
11 Receivership, and conduct discovery in this action on behalf of the receivership
12 estate, in addition to obtaining other discovery as set forth in this Order;

13 P. Open one or more bank accounts at designated depositories for funds
14 of the Receivership Entities. The Receiver shall deposit all funds of the
15 Receivership Entities in such designated accounts and shall make all payments and
16 disbursements from the receivership estate from such accounts. The Receiver shall
17 serve copies of monthly account statements on all parties;

18 Q. Maintain accurate records of all receipts and expenditures incurred as
19 Receiver;

20 R. Allow Plaintiffs' representatives, agents, and assistants, as well as
21 Defendants' representatives and Defendants themselves, reasonable access to the
22 premises of the Receivership Entities, or any other premises where the
23 Receivership Entities conduct business. The purpose of this access shall be to
24 inspect and copy any and all books, records, Documents, accounts, and other
25 property owned by, or in the possession of, the Receivership Entities or their
26 agents. The Receiver shall have the discretion to determine the time, manner, and
27 reasonable conditions of such access;

1 S. Allow Plaintiffs' representatives, agents, and assistants, as well as
2 Defendants and their representatives reasonable access to all Documents in the
3 possession, custody, or control of the Receivership Entities;

4 T. Cooperate with reasonable requests for information or assistance from
5 any state or federal civil or criminal law enforcement agency;

6 U. Suspend business operations of the Receivership Entities if in the
7 judgment of the Receiver such operations cannot be continued legally and
8 profitably;

9 V. If the Receiver identifies a nonparty entity as a Receivership Entity,
10 promptly notify the entity as well as the parties, and inform the entity that it can
11 challenge the Receiver's determination by filing a motion with the Court.
12 Provided, however, that the Receiver may delay providing such notice until the
13 Receiver has established control of the nonparty entity and its assets and records, if
14 the Receiver determines that notice to the entity or the parties before the Receiver
15 establishes control over the entity may result in the destruction of records,
16 dissipation of assets, or any other obstruction of the Receiver's control of the
17 entity;

18 W. If in the Receiver's judgment the business operations cannot be
19 continued legally and profitably, take all steps necessary to ensure that any of the
20 Receivership Entities' web pages or websites relating to the activities alleged in the
21 Complaint cannot be accessed by the public, or are modified for consumer
22 education and/or informational purposes, and take all steps necessary to ensure that
23 any telephone numbers associated with the Receivership Entities cannot be
24 accessed by the public, or are answered solely to provide consumer education or
25 information regarding the status of operations; and

26 X. Report to this Court on or before the date set for the hearing to Show
27 Cause regarding the Preliminary Injunction or as otherwise directed by the Court,
28 regarding: (1) the steps taken by the Receiver to implement the terms of the Order;

(2) the value of all assets and sum of all liabilities of the Receivership Entities; (3) the steps the Receiver intends to take in the future to protect receivership assets, recover receivership assets from third parties, and adjust receivership liabilities; (4) the Receiver's opinion on whether any portion of the business of any of the Receivership Entities can continue to operate legally and profitably; and (5) any other matters that the Receiver believes should be brought to the Court's attention.

XVII. TRANSFER OF RECEIVERSHIP PROPERTY TO RECEIVER

IT IS FURTHER ORDERED that Defendants and any other person with possession, custody or control of (1) property of, or records relating to, the Receivership Entities or (2) the Assets of Jason Cardiff or Eunjung Cardiff or any trusts for which they are beneficiaries or trustees, shall, upon notice of this Order by personal service or otherwise, fully cooperate with and assist the Receiver in taking and maintaining possession, custody, or control of the Assets and Documents of the Receivership Entities and the Assets of Jason Cardiff or Eunjung Cardiff and immediately provide, transfer, or deliver to the Receiver possession, custody, and control of, the following:

A. All Assets held by or for the benefit of the Receivership Entities or of Jason Cardiff or Eunjung Cardiff, except for real property used as the residence of Jason Cardiff and Eunjung Cardiff;

B. All Documents or Assets associated with credits, debits, or charges made on behalf of any Receivership Entity, wherever situated, including reserve funds held by payment processors, credit card processors, merchant banks, acquiring banks, independent sales organizations, third party processors, payment gateways, insurance companies, or other entities;

C. All Documents of or pertaining to the Receivership Entities or to the Assets of Jason Cardiff or Eunjung Cardiff;

D. All computers, electronic devices, mobile devices, and machines used to conduct the business of the Receivership Entities;

1 E. All Assets and Documents belonging to other persons or entities
 2 whose interests are under the direction, possession, custody, or control of the
 3 Receivership Entities; and

4 F. All keys, codes, user names, passwords, and all other means of
 5 authentication necessary to gain or to secure access to any Assets or Documents of
 6 or pertaining to the Receivership Entities, including access to their business
 7 premises, means of communication, mobile phones, accounts, computer systems
 8 (onsite and remote), Electronic Data Hosts, or other property.

9 In the event that any person or entity fails to deliver or transfer any Asset,
 10 Document, or otherwise fails to comply with any provision of this Section, the
 11 Receiver may file an Affidavit of Non-Compliance regarding the failure and a
 12 motion seeking compliance or a contempt citation.

13 **XVIII. PROVISION OF INFORMATION TO RECEIVER**

14 **IT IS FURTHER ORDERED** that Receivership Entities and Jason Cardiff
 15 and Eunjung Cardiff shall immediately provide to the Receiver:

16 A. A list of all Assets and accounts of the Receivership Entities that are
 17 held in any name other than the name of a Receivership Entity, or by any person or
 18 entity other than a Receivership Entity;

19 B. A list of all Assets and accounts of Jason Cardiff and Eunjung Cardiff
 20 that are held in any name other than their own names, or by any person or entity
 21 other than themselves;

22 C. A list of all agents, employees, officers, attorneys, servants and those
 23 persons in active concert and participation with the Receivership Entities, or who
 24 have been associated or done business with the Receivership Entities; and

25 D. A description of any documents covered by attorney-client privilege
 26 or attorney work product, including files where such documents are likely to be
 27 located, authors or recipients of such documents, and search terms likely to
 28 identify such electronic documents.

XIX. COOPERATION WITH THE RECEIVER

IT IS FURTHER ORDERED that Defendants, Receivership Entities, Defendants' or Receivership Entities' officers, agents, employees, and attorneys, all other persons in active concert or participation with any of them, and any other person with possession, custody, or control of:

1. Receivership Property or records relating to Receivership Property; or
2. Other records relating to the Receivership Entities;

who receive actual notice of this Order shall fully cooperate with and assist the Receiver. This cooperation and assistance shall include, but is not limited to, providing information to the Receiver that the Receiver deems necessary to exercise the authority and discharge the responsibilities of the Receiver under this Order; providing any keys, codes, user names, passwords, and all other means required to access any computers, electronic devices, mobile devices, machines (onsite or remotely), and any cloud account (including specific method to access account) or electronic file in any medium; advising all persons who owe money to any Receivership Entity that all debts should be paid directly to the Receiver; and transferring funds at the Receiver's direction and producing records related to the Receivership Property and sales of the Receivership Entities.

XX. NON-INTERFERENCE WITH THE RECEIVER

IT IS FURTHER ORDERED that Defendants, Receivership Entities, Defendants' or Receivership Entities' officers, agents, employees, attorneys, and all other persons in active concert or participation with any of them, who receive actual notice of this Order, and any other person served with a copy of this Order, are hereby restrained and enjoined from directly or indirectly:

- A. Interfering with the Receiver's efforts to manage, or take custody, control, or possession of, the Assets or Documents subject to the receivership;
- B. Transacting any of the business of the Receivership Entities;

1 C. Transferring, receiving, altering, selling, encumbering, pledging,
 2 assigning, liquidating, or otherwise disposing of any Assets owned, controlled, or
 3 in the possession or custody of, or in which an interest is held or claimed by, the
 4 Receivership Entities, Jason Cardiff, or Eunjung Cardiff; or

5 D. Refusing to cooperate with the Receiver or the Receiver's duly
 6 authorized agents in the exercise of their duties or authority under any order of this
 7 Court.

8 **XXI. STAY OF ACTIONS**

9 **IT IS FURTHER ORDERED** that, except by leave of this Court, during
 10 the pendency of the receivership ordered herein, Defendants, Defendants' officers,
 11 agents, employees, attorneys, and all other persons in active concert or
 12 participation with any of them, who receive actual notice of this Order, and their
 13 corporations, subsidiaries, divisions, or affiliates, and all investors, creditors,
 14 stockholders, lessors, customers and other persons seeking to establish or enforce
 15 any claim, right, or interest against or on behalf of Defendants, and all others
 16 acting for or on behalf of such persons, are hereby enjoined from taking action that
 17 would interfere with the exclusive jurisdiction of this Court over the Assets or
 18 Documents of the Receivership Entities or over the assets of Jason Cardiff and
 19 Eunjung Cardiff, including, but not limited to:

20 A. Filing or assisting in the filing of a petition for relief under the
 21 Bankruptcy Code, 11 U.S.C. § 101 et seq., or of any similar insolvency proceeding
 22 on behalf of the Receivership Entities;

23 B. Commencing, prosecuting, or continuing a judicial, administrative, or
 24 other action or proceeding against the Receivership Entities, including the issuance
 25 or employment of process against the Receivership Entities, except that such
 26 actions may be commenced if necessary to toll any applicable statute of
 27 limitations;

1 C. Filing or enforcing any lien on any Asset of the Receivership Entities,
2 taking or attempting to take possession, custody, or control of any Asset of the
3 Receivership Entities, Jason Cardiff, or Eunjung Cardiff; or attempting to
4 foreclose, forfeit, alter, or terminate any interest in any Asset of the Receivership
5 Entities, Jason Cardiff, or Eunjung Cardiff, whether such acts are part of a judicial
6 proceeding, are acts of self-help, or otherwise.

7 Provided, however, that this Order does not stay: (1) the commencement or
8 continuation of a criminal action or proceeding; (2) the commencement or
9 continuation of an action or proceeding by a governmental unit to enforce such
10 governmental unit's police or regulatory power; or (3) the enforcement of a
11 judgment, other than a money judgment, obtained in an action or proceeding by a
12 governmental unit to enforce such governmental unit's police or regulatory power.

13 **XXII.COMPENSATION OF RECEIVER**

14 **IT IS FURTHER ORDERED** that the Receiver and all personnel hired by
15 the Receiver as herein authorized, including counsel to the Receiver and
16 accountants, are entitled to reasonable compensation for the performance of duties
17 pursuant to this Order and for the cost of actual out-of-pocket expenses incurred by
18 them, from the Assets now held by, in the possession or control of, or which may
19 be received by, the Receivership Entities, Jason Cardiff, or Eunjung Cardiff. The
20 Receiver shall file with the Court and serve on the parties periodic requests for the
21 payment of such reasonable compensation, with the first such request filed no more
22 than sixty (60) days after the date of entry of this Order. The Receiver shall not
23 increase the hourly rates used as the bases for such fee applications without prior
24 approval of the Court.

1 **XXIII. RECEIVER'S BOND**

2 **IT IS FURTHER ORDERED** that the Receiver shall file with the Clerk of
3 this Court a bond in the sum of \$15,000 with sureties to be approved by the Court,
4 conditioned that the Receiver will well and truly perform the duties of the office
5 and abide by and perform all acts the Court directs. 28 U.S.C. § 754.

6 **XXIV. IMMEDIATE ACCESS TO BUSINESS PREMISES AND RECORDS**

7 **IT IS FURTHER ORDERED** that:

8 A. In order to allow Plaintiff and the Receiver to preserve Assets and
9 evidence relevant to this action and to expedite discovery, Plaintiff and the
10 Receiver, and their representatives, agents, contractors, and assistants, shall have
11 immediate access to the business premises and storage facilities, owned,
12 controlled, or used by the Receivership Entities. Such locations include, but are
13 not limited to: 820 North Mountain Ave., Suite 100, Upland, CA 91786; 870
14 North Mountain Ave., Suites 115 and 118, Upland, CA 91786; any additional
15 business locations if they are discovered during the immediate access, and any
16 offsite location or commercial mailbox used by the Receivership Entities. The
17 Receiver may exclude Defendants, Receivership Entities, and their employees
18 from the business premises during the immediate access.

19 B. Plaintiff and the Receiver, and their representatives, agents,
20 contractors, and assistants, are authorized to remove Documents from the
21 Receivership Entities' premises in order that they may be inspected, inventoried,
22 and copied. Plaintiff shall return any removed materials to the Receiver within
23 five (5) business days of completing inventorying and copying, or such time as is
24 agreed upon by Plaintiff and the Receiver;

25 C. Plaintiff's access to the Receivership Entities' documents pursuant to
26 this Section shall not provide grounds for any Defendant to object to any
27 subsequent request for documents served by Plaintiff.
28

1 D. Plaintiff and the Receiver, and their representatives, agents,
2 contractors, and assistants, are authorized to obtain the assistance of federal, state
3 and local law enforcement officers as they deem necessary to effect service and to
4 implement peacefully the provisions of this Order;

5 E. If any Documents, computers, or electronic storage devices containing
6 information related to the business practices or finances of the Receivership
7 Entities are at a location other than those listed herein, including personal
8 residence(s) of any Defendant, then, immediately upon receiving notice of this
9 order, Defendants and the Receivership Entities shall produce to the Receiver all
10 such Documents, computers, and electronic storage devices, along with any codes
11 or passwords needed for access. In order to prevent the destruction of computer
12 data, upon service of this Order, any such computers or electronic storage devices
13 shall be powered down in the normal course of the operating system used on such
14 devices and shall not be powered up or used until produced for copying and
15 inspection; and

16 F. If any communications or records of any Receivership Entity are
17 stored with an Electronic Data Host, such Entity shall, immediately upon receiving
18 notice of this order, provide the Receiver with the username, passwords, and any
19 other login credential needed to access the communications and records, and shall
20 not attempt to access, or cause a third party to attempt to access, the
21 communications or records.

22 **XXV. DISTRIBUTION OF ORDER BY DEFENDANTS**

23 **IT IS FURTHER ORDERED** that Defendants shall immediately provide a
24 copy of this Order to each affiliate, telemarketer, marketer, sales entity, successor,
25 assign, member, officer, director, employee, agent, independent contractor, client,
26 attorney, spouse, subsidiary, division, and representative of any Defendant, and
27 shall, within ten (10) days from the date of entry of this Order, provide Plaintiff
28 and the Receiver with a sworn statement that this provision of the Order has been

1 satisfied, which statement shall include the names, physical addresses, phone
 2 number, and email addresses of each such person or entity who received a copy of
 3 the Order. Furthermore, Defendants shall not take any action that would
 4 encourage officers, agents, members, directors, employees, salespersons,
 5 independent contractors, attorneys, subsidiaries, affiliates, successors, assigns or
 6 other persons or entities in active concert or participation with them to disregard
 7 this Order or believe that they are not bound by its provisions.

8 **XXVI. EXPEDITED DISCOVERY**

9 **IT IS FURTHER ORDERED** that, notwithstanding the provisions of Fed.
 10 R. Civ. P. 26(d) and (f) and 30(a)(2)(A)(iii), and pursuant to Fed. R. Civ. P. 30(a),
 11 34, and 45, Plaintiff and the Receiver are granted leave, at any time after service of
 12 this Order, to conduct limited expedited discovery for the purpose of discovering:
 13 (1) the nature, location, status, and extent of Defendants' Assets; or (2) compliance
 14 with this Order. The limited expedited discovery set forth in this Section shall
 15 proceed as follows:

16 A. Plaintiff and the Receiver may take the deposition of parties and non-
 17 parties. Forty-eight (48) hours notice shall be sufficient notice for such
 18 depositions. The limitations and conditions set forth in Rules 30(a)(2)(B) and
 19 31(a)(2)(B) of the Federal Rules of Civil Procedure regarding subsequent
 20 depositions of an individual shall not apply to depositions taken pursuant to this
 21 Section. Any such deposition taken pursuant to this Section shall not be counted
 22 towards the deposition limit set forth in Rules 30(a)(2)(A) and 31(a)(2)(A) and
 23 depositions may be taken by telephone or other remote electronic means.

24 B. Plaintiff and the Receiver may serve upon parties requests for
 25 production of Documents or inspection that require production or inspection within
 26 five (5) days of service, provided, however, that three (3) days of notice shall be
 27 deemed sufficient for the production of any such Documents that are maintained or
 28 stored only in an electronic format.

1 C. Plaintiff and the Receiver may serve upon parties interrogatories that
2 require response within five (5) days after Plaintiff serves such interrogatories.

3 D. Plaintiff and the Receiver may serve subpoenas upon non-parties that
4 direct production or inspection within five (5) days of service.

5 E. Service of discovery upon a party to this action, taken pursuant to this
6 Section, shall be sufficient if made by facsimile, email, or by overnight delivery.

7 F. Any expedited discovery taken pursuant to this Section is in addition
8 to, and is not subject to, the limits on discovery set forth in the Federal Rules of
9 Civil Procedure and the Local Rules of this Court. The expedited discovery
10 permitted by this Section does not require a meeting or conference of the parties,
11 pursuant to Rules 26(d) & (f) of the Federal Rules of Civil Procedure.

12 G. The Parties are exempted from making initial disclosures under Fed.
13 R. Civ. P. 26(a)(1) until further order of this Court.

14 **XXVII. SERVICE OF THIS ORDER**

15 **IT IS FURTHER ORDERED** that copies of this Order as well as
16 Plaintiff's *Ex Parte* Application For (1) A Temporary Restraining Order And
17 Order To Show Cause Why A Preliminary Injunction Should Not Issue And (2)
18 Order Waiving Notice Requirement and all other pleadings, Documents, and
19 exhibits filed contemporaneously with that Application (other than the complaint
20 and summons), may be served by any means, including facsimile, electronic mail
21 or other electronic messaging, personal or overnight delivery, U.S. Mail or FedEx,
22 by agents and employees of Plaintiff, by any law enforcement agency, or by
23 private process server, upon any Defendant or any person (including any financial
24 institution) that may have possession, custody or control of any Asset or Document
25 of any Defendant, or that may be subject to any provision of this Order pursuant to
26 Rule 65(d)(2) of the Federal Rules of Civil Procedure. For purposes of this
27 Section, service upon any branch, subsidiary, affiliate or office of any entity shall
28 effect service upon the entire entity.

1 **XXVIII. CORRESPONDENCE AND SERVICE ON PLAINTIFF**

2 **IT IS FURTHER ORDERED** that, for the purpose of this Order, all
3 correspondence and service of pleadings on Plaintiff shall be addressed to:

4 Elizabeth Sanger
5 James A. Prunty
6 Edwin Rodriguez
7 Shira D. Modell
8 Federal Trade Commission
9 600 Pennsylvania Ave., NW
10 Washington, DC 20580
11 Tel: (202) 326-2757, -2438, -3147, -3116
Fax: (202) 326-3259
Email: esanger@ftc.gov; jprunty@ftc.gov; erodriguez@ftc.gov;
smodell@ftc.gov

12 **XXIX. PRELIMINARY INJUNCTION HEARING**

13 **IT IS FURTHER ORDERED** that, pursuant to Fed. R. Civ. P. 65(b),
14 Defendants shall appear before this Court on the 23rd day of October, 2018, at
15 2:00 p.m. to show cause, if there is any, why this Court should not enter a
16 preliminary injunction, pending final ruling on the Complaint against Defendants,
17 enjoining the violations of the law alleged in the Complaint, continuing the freeze
18 of the Defendants' Assets, continuing the receivership, and imposing such
19 additional relief as may be appropriate.

20 **XXX. BRIEFS AND AFFIDAVITS CONCERNING PRELIMINARY**
21 **INJUNCTION**

22 **IT IS FURTHER ORDERED** that:

23 A. Defendants shall file with the Court and serve on Plaintiff's counsel
24 any answering pleadings, affidavits, motions, expert reports or declarations, or
25 legal memoranda no later than **four (4) days** prior to the order to show cause
26 hearing scheduled pursuant to this Order. Plaintiff may file responsive or
27 supplemental pleadings, materials, affidavits, or memoranda with the Court and
28 serve the same on counsel for Defendants no later than **one (1) day** prior to the

1 order to show Cause hearing. Provided that such affidavits, pleadings, motions,
2 expert reports, declarations, legal memoranda, or oppositions must be served by
3 personal or overnight delivery, facsimile or email, and be received by the other
4 party or parties no later than 5:00 p.m. Pacific Time on the appropriate dates set
5 forth in this Section.

6 B. An evidentiary hearing on Plaintiff's request for a preliminary
7 injunction is not necessary unless Defendants demonstrate that they have, and
8 intend to introduce, evidence that raises a genuine and material factual issue. The
9 question of whether this Court should enter a preliminary injunction shall be
10 resolved on the pleadings, declarations, exhibits, and memoranda filed by, and oral
11 argument of, the parties. Live testimony shall be heard only on further order of
12 this Court. Any motion to permit such testimony shall be filed with the Court and
13 served on counsel for the other parties at least five (5) days prior to the preliminary
14 injunction hearing in this matter. Such motion shall set forth the name, address,
15 and telephone number of each proposed witness, a detailed summary or affidavit
16 revealing the substance of each proposed witness's expected testimony, and an
17 explanation of why the taking of live testimony would be helpful to this Court.
18 Any papers opposing a timely motion to present live testimony or to present live
19 testimony in response to another party's timely motion to present live testimony
20 shall be filed with this Court and served on the other parties at least three (3) days
21 prior to the order to show cause hearing.

22 Provided, however, that service shall be performed by personal or overnight
23 delivery, facsimile, or email, and Documents shall be delivered so that they shall
24 be received by the other parties no later than 5:00 p.m. Pacific Time on the
25 appropriate dates provided in this Section.

1 **XXXI. DURATION OF THE ORDER**

2 **IT IS FURTHER ORDERED** that this Order shall expire fourteen (14)
3 days from the date of entry noted below, unless within such time, the Order is
4 extended for an additional period pursuant to Fed. R. Civ. P. 65(b)(2).

5 **XXXII. RETENTION OF JURISDICTION**

6 **IT IS FURTHER ORDERED** that this Court shall retain jurisdiction of
7 this matter for all purposes.

8 SO ORDERED, this 10th day of October, 2018 @ 3:00 p.m.

9 *S. James Otero*
10

11 _____
12 UNITED STATES DISTRICT JUDGE
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FINANCIAL STATEMENT OF INDIVIDUAL DEFENDANT

Definitions and Instructions:

1. Complete all items. Enter "None" or "N/A" ("Not Applicable") in the first field only of any item that does not apply to you. If you cannot fully answer a question, explain why.
2. "Dependents" include your spouse, live-in companion, dependent children, or any other person, whom you or your spouse (or your children's other parent) claimed or could have claimed as a dependent for tax purposes at any time during the past five years.
3. "Assets" and "Liabilities" include ALL assets and liabilities, located within the United States or any foreign country or territory, whether held individually or jointly and whether held by you, your spouse, or your dependents, or held by others for the benefit of you, your spouse, or your dependents.
4. Attach continuation pages as needed. On the financial statement, state next to the Item number that the Item is being continued. On the continuation page(s), identify the Item number(s) being continued.
5. Type or print legibly.
6. Initial each page in the space provided in the lower right corner.
7. Sign and date the completed financial statement on the last page.

Penalty for False Information:

Federal law provides that any person may be imprisoned for not more than five years, fined, or both, if such person:

(1) "in any matter within the jurisdiction of the executive, legislative, or judicial branch of the Government of the United States, knowingly and willfully falsifies, conceals or covers up by any trick, scheme, or device a material fact; makes any materially false, fictitious or fraudulent statement or representation; or makes or uses any false writing or document knowing the same to contain any materially false, fictitious or fraudulent statement or entry" (18 U.S.C. § 1001);

(2) "in any . . . statement under penalty of perjury as permitted under section 1746 of title 28, United States Code, willfully subscribes as true any material matter which he does not believe to be true" (18 U.S.C. § 1621); or

(3) "in any (. . . statement under penalty of perjury as permitted under section 1746 of title 28, United States Code) in any proceeding before or ancillary to any court or grand jury of the United States knowingly makes any false material declaration or makes or uses any other information . . . knowing the same to contain any false material declaration" (18 U.S.C. § 1623).

For a felony conviction under the provisions cited above, federal law provides that the fine may be not more than the greater of (i) \$250,000 for an individual or \$500,000 for a corporation, or (ii) if the felony results in pecuniary gain to any person or pecuniary loss to any person other than the defendant, the greater of twice the gross gain or twice the gross loss. 18 U.S.C. § 3571.

BACKGROUND INFORMATION**Item 1. Information About You**

Full Name	Social Security No.		
Current Address of Primary Residence	Driver's License No.		State Issued
	Phone Numbers Home: () Fax: ()	Date of Birth: / / (mm/dd/yyyy)	
	Place of Birth		
<input type="checkbox"/> Rent <input type="checkbox"/> Own From (Date): / / (mm/dd/yyyy)	E-Mail Address		
Internet Home Page			

Previous Addresses for past five years (if required, use additional pages at end of form)

Address	From: / / (mm/dd/yyyy)	Until: / / (mm/dd/yyyy)
	<input type="checkbox"/> Rent <input type="checkbox"/> Own	
Address	From: / /	Until: / /
	<input type="checkbox"/> Rent <input type="checkbox"/> Own	
Address	From: / /	Until: / /
	<input type="checkbox"/> Rent <input type="checkbox"/> Own	

Identify any other name(s) and/or social security number(s) you have used, and the time period(s) during which they were used:

Item 2. Information About Your Spouse or Live-In Companion

Spouse/Companion's Name	Social Security No.	Date of Birth / / (mm/dd/yyyy)
Address (if different from yours)	Phone Number ()	Place of Birth
	<input type="checkbox"/> Rent <input type="checkbox"/> Own From (Date): / / (mm/dd/yyyy)	

Identify any other name(s) and/or social security number(s) you have used, and the time period(s) during which they were used:

Employer's Name and Address	Job Title	
	Years in Present Job	Annual Gross Salary/Wages \$

Item 3. Information About Your Previous Spouse

Name and Address	Social Security No.
	Date of Birth / / (mm/dd/yyyy)

Item 4. Contact Information (name and address of closest living relative other than your spouse)

Name and Address	Phone Number ()
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Initials: _____

Item 5. Information About Dependents (whether or not they reside with you)		
Name and Address	Social Security No.	Date of Birth / / (mm/dd/yyyy)
	Relationship	
Name and Address	Social Security No.	Date of Birth / / (mm/dd/yyyy)
	Relationship	
Name and Address	Social Security No.	Date of Birth / / (mm/dd/yyyy)
	Relationship	
Name and Address	Social Security No.	Date of Birth / / (mm/dd/yyyy)
	Relationship	

Item 6. Employment Information/Employment Income			
Provide the following information for this year-to-date and for each of the previous five full years, for each business entity of which you were a director, officer, member, partner, employee (including self-employment), agent, owner, shareholder, contractor, participant or consultant at any time during that period. "Income" includes, but is not limited to, any salary, commissions, distributions, draws, consulting fees, loans, loan payments, dividends, royalties, and benefits for which you did not pay (e.g., health insurance premiums, automobile lease or loan payments) received by you or anyone else on your behalf.			
Company Name and Address	Dates Employed		Income Received: Y-T-D & 5 Prior Yrs.
	From (Month/Year) /	To (Month/Year) /	Year Income 20 \$
Ownership Interest? <input type="checkbox"/> Yes <input type="checkbox"/> No			\$
Positions Held	From (Month/Year) /	To (Month/Year) /	\$
	/	/	\$
	/	/	\$
	/	/	\$
	/	/	\$
Company Name and Address	Dates Employed		Income Received: Y-T-D & 5 Prior Yrs.
	From (Month/Year) /	To (Month/Year) /	Year Income 20 \$
Ownership Interest? <input type="checkbox"/> Yes <input type="checkbox"/> No			\$
Positions Held	From (Month/Year) /	To (Month/Year) /	\$
	/	/	\$
	/	/	\$
	/	/	\$
	/	/	\$
Company Name and Address	Dates Employed		Income Received: Y-T-D & 5 Prior Yrs.
	From (Month/Year) /	To (Month/Year) /	Year Income 20 \$
Ownership Interest? <input type="checkbox"/> Yes <input type="checkbox"/> No			\$
Positions Held	From (Month/Year) /	To (Month/Year) /	\$
	/	/	\$
	/	/	\$
	/	/	\$
	/	/	\$

Initials: _____

Item 7. Pending Lawsuits Filed By or Against You or Your Spouse

List all pending lawsuits that have been filed by or against you or your spouse in any court or before an administrative agency in the United States or in any foreign country or territory. **Note:** At Item 12, list lawsuits that resulted in final judgments or settlements in your favor. At Item 21, list lawsuits that resulted in final judgments or settlements against you.

Caption of Proceeding	Court or Agency and Location	Case No.	Nature of Proceeding	Relief Requested	Status or Disposition

Item 8. Safe Deposit Boxes

List all safe deposit boxes, located within the United States or in any foreign country or territory, whether held individually or jointly and whether held by you, your spouse, or any of your dependents, or held by others for the benefit of you, your spouse, or any of your dependents.

Name of Owner(s)	Name & Address of Depository Institution	Box No.	Contents

Initials: _____

FINANCIAL INFORMATION

REMINDER: When an item asks for information regarding your "assets" and "liabilities" include ALL assets and liabilities, located within the United States or in any foreign country or territory, or institution, whether held individually or jointly, and whether held by you, your spouse, or any of your dependents, or held by others for the benefit of you, your spouse, or any of your dependents. In addition, provide all documents requested in Item 24 with your completed Financial Statement.

ASSETS

Item 9. Cash, Bank, and Money Market Accounts

List cash on hand (as opposed to cash in bank accounts or other financial accounts) and all bank accounts, money market accounts, or other financial accounts, including but not limited to checking accounts, savings accounts, and certificates of deposit. The term "cash on hand" includes but is not limited to cash in the form of currency, uncashed checks, and money orders.

a. Amount of Cash on Hand \$	Form of Cash on Hand		
b. Name on Account	Name & Address of Financial Institution	Account No.	Current Balance
			\$
			\$
			\$
			\$
			\$

Item 10. Publicly Traded Securities

List all publicly traded securities, including but not limited to, stocks, stock options, corporate bonds, mutual funds, U.S. government securities (including but not limited to treasury bills and treasury notes), and state and municipal bonds. Also list any U.S. savings bonds.

Owner of Security	Issuer	Type of Security	No. of Units Owned
Broker House, Address	Broker Account No.		
	Current Fair Market Value \$		Loan(s) Against Security \$
Owner of Security	Issuer	Type of Security	No. of Units Owned
Broker House, Address	Broker Account No.		
	Current Fair Market Value \$		Loan(s) Against Security \$
Owner of Security	Issuer	Type of Security	No. of Units Owned
Broker House, Address	Broker Account No.		
	Current Fair Market Value \$		Loan(s) Against Security \$

Initials: _____

Item 11. Non-Public Business and Financial Interests

List all non-public business and financial interests, including but not limited to any interest in a non-public corporation, subchapter-S corporation, limited liability corporation ("LLC"), general or limited partnership, joint venture, sole proprietorship, international business corporation or personal investment corporation, and oil or mineral lease.

Entity's Name & Address	Type of Business or Financial Interest (e.g., LLC, partnership)	Owner (e.g., self, spouse)	Ownership %	If Officer, Director, Member or Partner, Exact Title

Item 12. Amounts Owed to You, Your Spouse, or Your Dependents

Debtor's Name & Address	Date Obligation Incurred (Month/Year) /	Original Amount Owed \$	Nature of Obligation (if the result of a final court judgment or settlement, provide court name and docket number)
	Current Amount Owed \$	Payment Schedule \$	
Debtor's Telephone	Debtor's Relationship to You		
Debtor's Name & Address	Date Obligation Incurred (Month/Year) /	Original Amount Owed \$	Nature of Obligation (if the result of a final court judgment or settlement, provide court name and docket number)
	Current Amount Owed \$	Payment Schedule \$	
Debtor's Telephone	Debtor's Relationship to You		

Item 13. Life Insurance Policies

List all life insurance policies (including endowment policies) with any cash surrender value.

Insurance Company's Name, Address, & Telephone No.	Beneficiary	Policy No.	Face Value \$
	Insured	Loans Against Policy \$	Surrender Value \$
Insurance Company's Name, Address, & Telephone No.	Beneficiary	Policy No.	Face Value \$
	Insured	Loans Against Policy \$	Surrender Value \$

Item 14. Deferred Income Arrangements

List all deferred income arrangements, including but not limited to, deferred annuities, pensions plans, profit-sharing plans, 401(k) plans, IRAs, Keoghs, other retirement accounts, and college savings plans (e.g., 529 Plans).

Trustee or Administrator's Name, Address & Telephone No.	Name on Account		Account No.
	Date Established / / (mm/dd/yyyy)	Type of Plan	Surrender Value before Taxes and Penalties \$
Trustee or Administrator's Name, Address & Telephone No.	Name on Account		Account No.
	Date Established / /	Type of Plan	Surrender Value before Taxes and Penalties \$

Initials: _____

Item 15. Pending Insurance Payments or Inheritances

List any pending insurance payments or inheritances owed to you.

Type	Amount Expected	Date Expected (mm/dd/yyyy)
	\$	/ /
	\$	/ /
	\$	/ /

Item 16. Vehicles

List all cars, trucks, motorcycles, boats, airplanes, and other vehicles.

Vehicle Type	Year	Registered Owner's Name	Purchase Price \$	Original Loan Amount \$	Current Balance \$
Make		Registration State & No.	Account/Loan No.	Current Value \$	Monthly Payment \$
Model		Address of Vehicle's Location	Lender's Name and Address		
Vehicle Type	Year	Registered Owner's Name	Purchase Price \$	Original Loan Amount \$	Current Balance \$
Make		Registration State & No.	Account/Loan No.	Current Value \$	Monthly Payment \$
Model		Address of Vehicle's Location	Lender's Name and Address		
Vehicle Type	Year	Registered Owner's Name	Purchase Price \$	Original Loan Amount \$	Current Balance \$
Make		Registration State & No.	Account/Loan No.	Current Value \$	Monthly Payment \$
Model		Address of Vehicle's Location	Lender's Name and Address		
Vehicle Type	Year	Registered Owner's Name	Purchase Price \$	Original Loan Amount \$	Current Balance \$
Make		Registration State & No.	Account/Loan No.	Current Value \$	Monthly Payment \$
Model		Address of Vehicle's Location	Lender's Name and Address		

Item 17. Other Personal Property

List all other personal property not listed in items 9-16 by category, whether held for personal use, investment or any other reason, including but not limited to coins, stamps, artwork, gemstones, jewelry, bullion, other collectibles, copyrights, patents, and other intellectual property.

Property Category (e.g., artwork, jewelry)	Name of Owner	Property Location	Acquisition Cost	Current Value
			\$	\$
			\$	\$
			\$	\$

Initials: _____

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Item 18. Real Property

List all real property interests (including any land contract)

Property's Location		Type of Property	Name(s) on Title or Contract and Ownership Percentages	
Acquisition Date (mm/dd/yyyy) / /	Purchase Price \$	Current Value \$	Basis of Valuation	
Lender's Name and Address		Loan or Account No.	Current Balance On First Mortgage or Contract \$	
			Monthly Payment \$	
Other Mortgage Loan(s) (describe)		Monthly Payment \$	<input type="checkbox"/> Rental Unit	
		Current Balance \$	Monthly Rent Received \$	
Property's Location		Type of Property	Name(s) on Title or Contract and Ownership Percentages	
Acquisition Date (mm/dd/yyyy) / /	Purchase Price \$	Current Value \$	Basis of Valuation	
Lender's Name and Address		Loan or Account No.	Current Balance On First Mortgage or Contract \$	
			Monthly Payment \$	
Other Mortgage Loan(s) (describe)		Monthly Payment \$	<input type="checkbox"/> Rental Unit	
		Current Balance \$	Monthly Rent Received \$	

LIABILITIES**Item 19. Credit Cards**

List each credit card account held by you, your spouse, or your dependents, and any other credit cards that you, your spouse, or your dependents use, whether issued by a United States or foreign financial institution.

Name of Credit Card (e.g., Visa, MasterCard, Department Store)	Account No.	Name(s) on Account	Current Balance
			\$
			\$
			\$
			\$
			\$

Item 20. Taxes Payable

List all taxes, such as income taxes or real estate taxes, owed by you, your spouse, or your dependents.

Type of Tax	Amount Owed	Year Incurred
	\$	
	\$	
	\$	

Initials: _____

Item 21. Other Amounts Owed by You, Your Spouse, or Your Dependents

List all other amounts, not listed elsewhere in this financial statement, owed by you, your spouse, or your dependents.

Lender/Creditor's Name, Address, and Telephone No.		Nature of Debt (if the result of a court judgment or settlement, provide court name and docket number)	
		Lender/Creditor's Relationship to You	
Date Liability Was Incurred / / (mm/dd/yyyy)	Original Amount Owed \$	Current Amount Owed \$	Payment Schedule

Lender/Creditor's Name, Address, and Telephone No.		Nature of Debt (if the result of a court judgment or settlement, provide court name and docket number)	
		Lender/Creditor's Relationship to You	
Date Liability Was Incurred / / (mm/dd/yyyy)	Original Amount Owed \$	Current Amount Owed \$	Payment Schedule

OTHER FINANCIAL INFORMATION**Item 22. Trusts and Escrows**

List all funds and other assets that are being held in trust or escrow by any person or entity for you, your spouse, or your dependents. Include any legal retainers being held on your behalf by legal counsel. Also list all funds or other assets that are being held in trust or escrow by you, your spouse, or your dependents, for any person or entity.

Trustee or Escrow Agent's Name & Address	Date Established (mm/dd/yyyy)	Grantor	Beneficiaries	Present Market Value of Assets*
	/ /			\$
	/ /			\$
	/ /			\$

*If the market value of any asset is unknown, describe the asset and state its cost, if you know it.

Item 23. Transfers of Assets

List each person or entity to whom you have transferred, in the aggregate, more than \$5,000 in funds or other assets during the previous five years by loan, gift, sale, or other transfer (exclude ordinary and necessary living and business expenses paid to unrelated third parties). For each such person or entity, state the total amount transferred during that period.

Transferee's Name, Address, & Relationship	Property Transferred	Aggregate Value*	Transfer Date (mm/dd/yyyy)	Type of Transfer (e.g., Loan, Gift)
		\$	/ /	
		\$	/ /	
		\$	/ /	

*If the market value of any asset is unknown, describe the asset and state its cost, if you know it.

Initials: _____

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Item 24. Document Requests

Provide copies of the following documents with your completed Financial Statement.

	Federal tax returns filed during the last three years by or on behalf of you, your spouse, or your dependents.
	All applications for bank loans or other extensions of credit (other than credit cards) that you, your spouse, or your dependents have submitted within the last two years, including by obtaining copies from lenders if necessary.
Item 9	For each bank account listed in Item 9, all account statements for the past 3 years.
Item 11	For each business entity listed in Item 11, provide (including by causing to be generated from accounting records) the most recent balance sheet, tax return, annual income statement, the most recent year-to-date income statement, and all general ledger files from account records.
Item 17	All appraisals that have been prepared for any property listed in Item 17, including appraisals done for insurance purposes. You may exclude any category of property where the total appraised value of all property in that category is less than \$2,000.
Item 18	All appraisals that have been prepared for real property listed in Item 18.
Item 21	Documentation for all debts listed in Item 21.
Item 22	All executed documents for any trust or escrow listed in Item 22. Also provide any appraisals, including insurance appraisals that have been done for any assets held by any such trust or in any such escrow.

SUMMARY FINANCIAL SCHEDULES**Item 25. Combined Balance Sheet for You, Your Spouse, and Your Dependents**

Assets		Liabilities	
Cash on Hand (Item 9)	\$	Loans Against Publicly Traded Securities (Item 10)	\$
Funds Held in Financial Institutions (Item 9)	\$	Vehicles - Liens (Item 16)	\$
U.S. Government Securities (Item 10)	\$	Real Property - Encumbrances (Item 18)	\$
Publicly Traded Securities (Item 10)	\$	Credit Cards (Item 19)	\$
Non-Public Business and Financial Interests (Item 11)	\$	Taxes Payable (Item 20)	\$
Amounts Owed to You (Item 12)	\$	Amounts Owed by You (Item 21)	\$
Life Insurance Policies (Item 13)	\$	Other Liabilities (Itemize)	
Deferred Income Arrangements (Item 14)	\$		\$
Vehicles (Item 16)	\$		\$
Other Personal Property (Item 17)	\$		\$
Real Property (Item 18)	\$		\$
Other Assets (Itemize)			\$
	\$		\$
	\$		\$
	\$		\$
Total Assets	\$	Total Liabilities	\$

Item 26. Combined Current Monthly Income and Expenses for You, Your Spouse, and Your Dependents

Provide the current monthly income and expenses for you, your spouse, and your dependents. Do not include credit card payments separately; rather, include credit card expenditures in the appropriate categories.

Income (State source of each item)		Expenses	
Salary - After Taxes	\$	Mortgage or Rental Payments for Residence(s)	\$
Source:			
Fees, Commissions, and Royalties	\$	Property Taxes for Residence(s)	\$
Source:			
Interest	\$	Rental Property Expenses, Including Mortgage Payments, Taxes, and Insurance	\$
Source:			
Dividends and Capital Gains	\$	Car or Other Vehicle Lease or Loan Payments	\$
Source:			
Gross Rental Income	\$	Food Expenses	\$
Source:			
Profits from Sole Proprietorships	\$	Clothing Expenses	\$
Source:			
Distributions from Partnerships, S-Corporations, and LLCs	\$	Utilities	\$
Source:			

Initials: _____

Item 26. Combined Current Monthly Income and Expenses for You, Your Spouse, and Your Dependents (cont.)			
Distributions from Trusts and Estates Source:	\$	Medical Expenses, Including Insurance	\$
Distributions from Deferred Income Arrangements Source:	\$	Other Insurance Premiums	\$
Social Security Payments	\$	Other Transportation Expenses	\$
Alimony/Child Support Received	\$	Other Expenses (Itemize)	
Gambling Income	\$		\$
Other Income (Itemize)			\$
	\$		\$
	\$		\$
	\$		\$
	\$		\$
Total Income	\$	Total Expenses	\$

ATTACHMENTS

Item 27. Documents Attached to this Financial Statement	
List all documents that are being submitted with this financial statement. For any Item 24 documents that are not attached, explain why.	
Item No. Document Relates To	Description of Document

I am submitting this financial statement with the understanding that it may affect action by the Federal Trade Commission or a federal court. I have used my best efforts to obtain the information requested in this statement. The responses I have provided to the items above are true and contain all the requested facts and information of which I have notice or knowledge. I have provided all requested documents in my custody, possession, or control. I know of the penalties for false statements under 18 U.S.C. § 1001, 18 U.S.C. § 1621, and 18 U.S.C. § 1623 (five years imprisonment and/or fines). I certify under penalty of perjury under the laws of the United States that the foregoing is true and correct.

Executed on:

(Date)

Signature

FEDERAL TRADE COMMISSION

FINANCIAL STATEMENT OF CORPORATE DEFENDANT

Instructions:

1. Complete all items. Enter "None" or "N/A" ("Not Applicable") where appropriate. If you cannot fully answer a question, explain why.
2. The font size within each field will adjust automatically as you type to accommodate longer responses.
3. In completing this financial statement, "the corporation" refers not only to this corporation but also to each of its predecessors that are not named defendants in this action.
4. When an Item asks for information about assets or liabilities "held by the corporation," include ALL such assets and liabilities, located within the United States or elsewhere, held by the corporation or held by others for the benefit of the corporation.
5. Attach continuation pages as needed. On the financial statement, state next to the Item number that the Item is being continued. On the continuation page(s), identify the Item number being continued.
6. Type or print legibly.
7. An officer of the corporation must sign and date the completed financial statement on the last page and initial each page in the space provided in the lower right corner.

Penalty for False Information:

Federal law provides that any person may be imprisoned for not more than five years, fined, or both, if such person:

- (1) "in any matter within the jurisdiction of any department or agency of the United States knowingly and willfully falsifies, conceals or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or uses any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry" (18 U.S.C. § 1001);
- (2) "in any . . . statement under penalty of perjury as permitted under section 1746 of title 28, United States Code, willfully subscribes as true any material matter which he does not believe to be true" (18 U.S.C. § 1621); or
- (3) "in any (. . . statement under penalty of perjury as permitted under section 1746 of title 28, United States Code) in any proceeding before or ancillary to any court or grand jury of the United States knowingly makes any false material declaration or makes or uses any other information . . . knowing the same to contain any false material declaration." (18 U.S.C. § 1623)

For a felony conviction under the provisions cited above, federal law provides that the fine may be not more than the greater of (i) \$250,000 for an individual or \$500,000 for a corporation, or (ii) if the felony results in pecuniary gain to any person or pecuniary loss to any person other than the defendant, the greater of twice the gross gain or twice the gross loss. 18 U.S.C. § 3571.

BACKGROUND INFORMATION

Item 1. General Information

Corporation's Full Name _____

Primary Business Address _____ From (Date) _____

Telephone No. _____ Fax No. _____

E-Mail Address _____ Internet Home Page _____

All other current addresses & previous addresses for past five years, including post office boxes and mail drops:

Address _____ From/Until _____

Address _____ From/Until _____

Address _____ From/Until _____

All predecessor companies for past five years:

Name & Address _____ From/Until _____

Name & Address _____ From/Until _____

Name & Address _____ From/Until _____

Item 2. Legal Information

Federal Taxpayer ID No. _____ State & Date of Incorporation _____

State Tax ID No. _____ State _____ Profit or Not For Profit _____

Corporation's Present Status: Active _____ Inactive _____ Dissolved _____

If Dissolved: Date dissolved _____ By Whom _____

Reasons _____

Fiscal Year-End (Mo./Day) _____ Corporation's Business Activities _____

Item 3. Registered Agent

Name of Registered Agent _____

Address _____ Telephone No. _____

Item 4. **Principal Stockholders**

List all persons and entities that own at least 5% of the corporation's stock.

<u>Name & Address</u>	<u>% Owned</u>

Item 5. **Board Members**

List all members of the corporation's Board of Directors.

<u>Name & Address</u>	<u>% Owned</u>	<u>Term (From/Until)</u>

Item 6. **Officers**

List all of the corporation's officers, including *de facto* officers (individuals with significant management responsibility whose titles do not reflect the nature of their positions).

<u>Name & Address</u>	<u>% Owned</u>

Item 7. **Businesses Related to the Corporation**

List all corporations, partnerships, and other business entities in which this corporation has an ownership interest.

<u>Name & Address</u>	<u>Business Activities</u>	<u>% Owned</u>

State which of these businesses, if any, has ever transacted business with the corporation _____

Item 8. **Businesses Related to Individuals**

List all corporations, partnerships, and other business entities in which the corporation's principal stockholders, board members, or officers (i.e., the individuals listed in Items 4 - 6 above) have an ownership interest.

<u>Individual's Name</u>	<u>Business Name & Address</u>	<u>Business Activities</u>	<u>% Owned</u>

State which of these businesses, if any, have ever transacted business with the corporation _____

Item 9. **Related Individuals**

List all related individuals with whom the corporation has had any business transactions during the three previous fiscal years and current fiscal year-to-date. A "related individual" is a spouse, sibling, parent, or child of the principal stockholders, board members, and officers (i.e., the individuals listed in Items 4 - 6 above).

<u>Name and Address</u>	<u>Relationship</u>	<u>Business Activities</u>

Item 10. **Outside Accountants**

List all outside accountants retained by the corporation during the last three years.

<u>Name</u>	<u>Firm Name</u>	<u>Address</u>	<u>CPA/PA?</u>

Item 11. **Corporation's Recordkeeping**

List all individuals within the corporation with responsibility for keeping the corporation's financial books and records for the last three years.

<u>Name, Address, & Telephone Number</u>	<u>Position(s) Held</u>

Item 12. **Attorneys**

List all attorneys retained by the corporation during the last three years.

<u>Name</u>	<u>Firm Name</u>	<u>Address</u>

Item 13. Pending Lawsuits Filed by the Corporation

List all pending lawsuits that have been filed by the corporation in court or before an administrative agency. (List lawsuits that resulted in final judgments or settlements in favor of the corporation in Item 25).

Opposing Party's Name & Address _____

Court's Name & Address _____

Docket No. _____ Relief Requested _____ Nature of Lawsuit _____

_____ Status _____

Opposing Party's Name & Address _____

Court's Name & Address _____

Docket No. _____ Relief Requested _____ Nature of Lawsuit _____

_____ Status _____

Opposing Party's Name & Address _____

Court's Name & Address _____

Docket No. _____ Relief Requested _____ Nature of Lawsuit _____

_____ Status _____

Opposing Party's Name & Address _____

Court's Name & Address _____

Docket No. _____ Relief Requested _____ Nature of Lawsuit _____

_____ Status _____

Opposing Party's Name & Address _____

Court's Name & Address _____

Docket No. _____ Relief Requested _____ Nature of Lawsuit _____

_____ Status _____

Opposing Party's Name & Address _____

Court's Name & Address _____

Docket No. _____ Relief Requested _____ Nature of Lawsuit _____

_____ Status _____

Item 14. **Current Lawsuits Filed Against the Corporation**

List all pending lawsuits that have been filed against the corporation in court or before an administrative agency. (List lawsuits that resulted in final judgments, settlements, or orders in Items 26 - 27).

Opposing Party's Name & Address _____

Court's Name & Address _____

Docket No. _____ Relief Requested _____ Nature of Lawsuit _____

_____ Status _____

Opposing Party's Name & Address _____

Court's Name & Address _____

Docket No. _____ Relief Requested _____ Nature of Lawsuit _____

_____ Status _____

Opposing Party's Name & Address _____

Court's Name & Address _____

Docket No. _____ Relief Requested _____ Nature of Lawsuit _____

_____ Status _____

Opposing Party's Name & Address _____

Court's Name & Address _____

Docket No. _____ Relief Requested _____ Nature of Lawsuit _____

_____ Status _____

Opposing Party's Name & Address _____

Court's Name & Address _____

Docket No. _____ Relief Requested _____ Nature of Lawsuit _____

_____ Status _____

Opposing Party's Name & Address _____

Court's Name & Address _____

Docket No. _____ Relief Requested _____ Nature of Lawsuit _____

_____ Status _____

Item 15. Bankruptcy Information

List all state insolvency and federal bankruptcy proceedings involving the corporation.

Commencement Date _____ Termination Date _____ Docket No. _____

If State Court: Court & County _____ If Federal Court: District _____

Disposition _____

Item 16. Safe Deposit Boxes

List all safe deposit boxes, located within the United States or elsewhere, held by the corporation, or held by others for the benefit of the corporation. *On a separate page, describe the contents of each box.*

<u>Owner's Name</u>	<u>Name & Address of Depository Institution</u>	<u>Box No.</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

FINANCIAL INFORMATION

REMINDER: When an Item asks for information about assets or liabilities "held by the corporation," include **ALL** such assets and liabilities, located within the United States or elsewhere, held by the corporation or held by others for the benefit of the corporation.

Item 17. Tax Returns

List all federal and state corporate tax returns filed for the last three complete fiscal years. *Attach copies of all returns.*

<u>Federal/ State/Both</u>	<u>Tax Year</u>	<u>Tax Due Federal</u>	<u>Tax Paid Federal</u>	<u>Tax Due State</u>	<u>Tax Paid State</u>	<u>Preparer's Name</u>
_____	_____	\$ _____	\$ _____	\$ _____	\$ _____	_____
_____	_____	\$ _____	\$ _____	\$ _____	\$ _____	_____
_____	_____	\$ _____	\$ _____	\$ _____	\$ _____	_____

Item 18. Financial Statements

List all financial statements that were prepared for the corporation's last three complete fiscal years and for the current fiscal year-to-date. *Attach copies of all statements, providing audited statements if available.*

<u>Year</u>	<u>Balance Sheet</u>	<u>Profit & Loss Statement</u>	<u>Cash Flow Statement</u>	<u>Changes in Owner's Equity</u>	<u>Audited?</u>

Item 19. Financial Summary

For each of the last three complete fiscal years and for the current fiscal year-to-date for which the corporation has not provided a profit and loss statement in accordance with Item 18 above, provide the following summary financial information.

	<u>Current Year-to-Date</u>	<u>1 Year Ago</u>	<u>2 Years Ago</u>	<u>3 Years Ago</u>
<u>Gross Revenue</u>	\$ _____	\$ _____	\$ _____	\$ _____
<u>Expenses</u>	\$ _____	\$ _____	\$ _____	\$ _____
<u>Net Profit After Taxes</u>	\$ _____	\$ _____	\$ _____	\$ _____
<u>Payables</u>	\$ _____			
<u>Receivables</u>	\$ _____			

Item 20. Cash, Bank, and Money Market Accounts

List cash and all bank and money market accounts, including but not limited to, checking accounts, savings accounts, and certificates of deposit, held by the corporation. The term "cash" includes currency and uncashed checks.

Cash on Hand \$ _____ Cash Held for the Corporation's Benefit \$ _____

<u>Name & Address of Financial Institution</u>	<u>Signator(s) on Account</u>	<u>Account No.</u>	<u>Current Balance</u>
			\$ _____
			\$ _____
			\$ _____
			\$ _____

Item 21. **Government Obligations and Publicly Traded Securities**

List all U.S. Government obligations, including but not limited to, savings bonds, treasury bills, or treasury notes, held by the corporation. Also list all publicly traded securities, including but not limited to, stocks, stock options, registered and bearer bonds, state and municipal bonds, and mutual funds, held by the corporation.

Issuer _____ Type of Security/Obligation _____

No. of Units Owned _____ Current Fair Market Value \$ _____ Maturity Date _____

Issuer _____ Type of Security/Obligation _____

No. of Units Owned _____ Current Fair Market Value \$ _____ Maturity Date _____

Item 22. **Real Estate**

List all real estate, including leaseholds in excess of five years, held by the corporation.

Type of Property _____ Property's Location _____

Name(s) on Title and Ownership Percentages _____

Current Value \$ _____ Loan or Account No. _____

Lender's Name and Address _____

Current Balance On First Mortgage \$ _____ Monthly Payment \$ _____

Other Loan(s) (describe) _____ Current Balance \$ _____

Monthly Payment \$ _____ Rental Unit? _____ Monthly Rent Received \$ _____

Type of Property _____ Property's Location _____

Name(s) on Title and Ownership Percentages _____

Current Value \$ _____ Loan or Account No. _____

Lender's Name and Address _____

Current Balance On First Mortgage \$ _____ Monthly Payment \$ _____

Other Loan(s) (describe) _____ Current Balance \$ _____

Monthly Payment \$ _____ Rental Unit? _____ Monthly Rent Received \$ _____

Item 23. Other Assets

List all other property, by category, with an estimated value of \$2,500 or more, held by the corporation, including but not limited to, inventory, machinery, equipment, furniture, vehicles, customer lists, computer software, patents, and other intellectual property.

<u>Property Category</u>	<u>Property Location</u>	<u>Acquisition Cost</u>	<u>Current Value</u>
		\$	\$
		\$	\$
		\$	\$
		\$	\$
		\$	\$
		\$	\$
		\$	\$
		\$	\$
		\$	\$

Item 24. Trusts and Escrows

List all persons and other entities holding funds or other assets that are in escrow or in trust for the corporation.

<u>Trustee or Escrow Agent's Name & Address</u>	<u>Description and Location of Assets</u>	<u>Present Market Value of Assets</u>
		\$
		\$
		\$
		\$
		\$
		\$
		\$

Item 25. **Monetary Judgments and Settlements Owed To the Corporation**

List all monetary judgments and settlements, recorded and unrecorded, owed to the corporation.

Opposing Party's Name & Address _____

Court's Name & Address _____ Docket No. _____

Nature of Lawsuit _____ Date of Judgment _____ Amount \$ _____

Opposing Party's Name & Address _____

Court's Name & Address _____ Docket No. _____

Nature of Lawsuit _____ Date of Judgment _____ Amount \$ _____

Item 26. **Monetary Judgments and Settlements Owed By the Corporation**

List all monetary judgments and settlements, recorded and unrecorded, owed by the corporation.

Opposing Party's Name & Address _____

Court's Name & Address _____ Docket No. _____

Nature of Lawsuit _____ Date _____ Amount \$ _____

Opposing Party's Name & Address _____

Court's Name & Address _____ Docket No. _____

Nature of Lawsuit _____ Date of Judgment _____ Amount \$ _____

Opposing Party's Name & Address _____

Court's Name & Address _____ Docket No. _____

Nature of Lawsuit _____ Date of Judgment _____ Amount \$ _____

Opposing Party's Name & Address _____

Court's Name & Address _____ Docket No. _____

Nature of Lawsuit _____ Date of Judgment _____ Amount \$ _____

Opposing Party's Name & Address _____

Court's Name & Address _____ Docket No. _____

Nature of Lawsuit _____ Date of Judgment _____ Amount \$ _____

Item 27. Government Orders and Settlements

List all existing orders and settlements between the corporation and any federal or state government entities.

Name of Agency _____ Contact Person _____

Address _____ Telephone No. _____

Agreement Date _____ Nature of Agreement _____

Item 28. Credit Cards

List all of the corporation's credit cards and store charge accounts and the individuals authorized to use them.

Name of Credit Card or Store

Names of Authorized Users and Positions Held

Item 29. Compensation of Employees

List all compensation and other benefits received from the corporation by the five most highly compensated employees, independent contractors, and consultants (other than those individuals listed in Items 5 and 6 above), for the two previous fiscal years and current fiscal year-to-date. "Compensation" includes, but is not limited to, salaries, commissions, consulting fees, bonuses, dividends, distributions, royalties, pensions, and profit sharing plans. "Other benefits" include, but are not limited to, loans, loan payments, rent, car payments, and insurance premiums, whether paid directly to the individuals, or paid to others on their behalf.

<u>Name/Position</u>	<u>Current Fiscal Year-to-Date</u>	<u>1 Year Ago</u>	<u>2 Years Ago</u>	<u>Compensation or Type of Benefits</u>
_____	\$ _____	\$ _____	\$ _____	_____
_____	\$ _____	\$ _____	\$ _____	_____
_____	\$ _____	\$ _____	\$ _____	_____
_____	\$ _____	\$ _____	\$ _____	_____
_____	\$ _____	\$ _____	\$ _____	_____

Item 30. Compensation of Board Members and Officers

List all compensation and other benefits received from the corporation by each person listed in Items 5 and 6, for the current fiscal year-to-date and the two previous fiscal years. "Compensation" includes, but is not limited to, salaries, commissions, consulting fees, dividends, distributions, royalties, pensions, and profit sharing plans. "Other benefits" include, but are not limited to, loans, loan payments, rent, car payments, and insurance premiums, whether paid directly to the individuals, or paid to others on their behalf.

<u>Name/Position</u>	<u>Current Fiscal Year-to-Date</u>	<u>1 Year Ago</u>	<u>2 Years Ago</u>	<u>Compensation or Type of Benefits</u>
	\$	\$	\$	
	\$	\$	\$	
	\$	\$	\$	
	\$	\$	\$	
	\$	\$	\$	
	\$	\$	\$	
	\$	\$	\$	
	\$	\$	\$	

Item 31. Transfers of Assets Including Cash and Property

List all transfers of assets over \$2,500 made by the corporation, other than in the ordinary course of business, during the previous three years, by loan, gift, sale, or other transfer.

<u>Transferee's Name, Address, & Relationship</u>	<u>Property Transferred</u>	<u>Aggregate Value</u>	<u>Transfer Date</u>	<u>Type of Transfer (e.g., Loan, Gift)</u>
		\$		
		\$		
		\$		
		\$		
		\$		

Item 32. **Documents Attached to the Financial Statement**

List all documents that are being submitted with the financial statement.

<u>Item No.</u>	<u>Document</u>	<u>Description of Document</u>
<u>Relates To</u>		

I am submitting this financial statement with the understanding that it may affect action by the Federal Trade Commission or a federal court. I have used my best efforts to obtain the information requested in this statement. The responses I have provided to the items above are true and contain all the requested facts and information of which I have notice or knowledge. I have provided all requested documents in my custody, possession, or control. I know of the penalties for false statements under 18 U.S.C. § 1001, 18 U.S.C. § 1621, and 18 U.S.C. § 1623 (five years imprisonment and/or fines). I certify under penalty of perjury under the laws of the United States that the foregoing is true and correct.

Executed on:

(Date)

Signature

Corporate Position

#2990

Form **4506****Request for Copy of Tax Return**

(July 2017)

Department of the Treasury
Internal Revenue Service

- **Do not sign this form unless all applicable lines have been completed.**
- **Request may be rejected if the form is incomplete or illegible.**
- **For more information about Form 4506, visit www.irs.gov/form4506.**

OMB No. 1545-0429

Tip. You may be able to get your tax return or return information from other sources. If you had your tax return completed by a paid preparer, they should be able to provide you a copy of the return. The IRS can provide a **Tax Return Transcript** for many returns free of charge. The transcript provides most of the line entries from the original tax return and usually contains the information that a third party (such as a mortgage company) requires. See **Form 4506-T, Request for Transcript of Tax Return**, or you can quickly request transcripts by using our automated self-help service tools. Please visit us at IRS.gov and click on "Get a Tax Transcript..." or call 1-800-908-9946.

1a Name shown on tax return. If a joint return, enter the name shown first.	1b First social security number on tax return, individual taxpayer identification number, or employer identification number (see instructions)
2a If a joint return, enter spouse's name shown on tax return.	2b Second social security number or individual taxpayer identification number if joint tax return
3 Current name, address (including apt., room, or suite no.), city, state, and ZIP code (see instructions)	
4 Previous address shown on the last return filed if different from line 3 (see instructions)	
5 If the tax return is to be mailed to a third party (such as a mortgage company), enter the third party's name, address, and telephone number.	

Caution: If the tax return is being mailed to a third party, ensure that you have filled in lines 6 and 7 before signing. Sign and date the form once you have filled in these lines. Completing these steps helps to protect your privacy. Once the IRS discloses your tax return to the third party listed on line 5, the IRS has no control over what the third party does with the information. If you would like to limit the third party's authority to disclose your return information, you can specify this limitation in your written agreement with the third party.

- 6 Tax return requested.** Form 1040, 1120, 941, etc. and all attachments as originally submitted to the IRS, including Form(s) W-2, schedules, or amended returns. Copies of Forms 1040, 1040A, and 1040EZ are generally available for 7 years from filing before they are destroyed by law. Other returns may be available for a longer period of time. Enter only one return number. If you need more than one type of return, you must complete another Form 4506. ► _____

Note: If the copies must be certified for court or administrative proceedings, check here ☐

- 7 Year or period requested.** Enter the ending date of the year or period, using the mm/dd/yyyy format. If you are requesting more than eight years or periods, you must attach another Form 4506.

- 8 Fee.** There is a \$50 fee for each return requested. **Full payment must be included with your request or it will be rejected. Make your check or money order payable to "United States Treasury." Enter your SSN, ITIN, or EIN and "Form 4506 request" on your check or money order.**

a Cost for each return	\$ 50.00
b Number of returns requested on line 7	
c Total cost. Multiply line 8a by line 8b	\$

- 9** If we cannot find the tax return, we will refund the fee. If the refund should go to the third party listed on line 5, check here ☐

Caution: Do not sign this form unless all applicable lines have been completed.

Signature of taxpayer(s). I declare that I am either the taxpayer whose name is shown on line 1a or 2a, or a person authorized to obtain the tax return requested. If the request applies to a joint return, at least one spouse must sign. If signed by a corporate officer, 1 percent or more shareholder, partner, managing member, guardian, tax matters partner, executor, receiver, administrator, trustee, or party other than the taxpayer, I certify that I have the authority to execute Form 4506 on behalf of the taxpayer. **Note:** This form must be received by IRS within 120 days of the signature date.

- ☐ **Signatory attests that he/she has read the attestation clause and upon so reading declares that he/she has the authority to sign the Form 4506.** See instructions.

Phone number of taxpayer on line
1a or 2a

**Sign
Here**

Signature (see instructions)	Date
Title (if line 1a above is a corporation, partnership, estate, or trust)	
Spouse's signature	Date

Section references are to the Internal Revenue Code unless otherwise noted.

Future Developments

For the latest information about Form 4506 and its instructions, go to www.irs.gov/form4506. Information about any recent developments affecting Form 4506, Form 4506-T and Form 4506T-EZ will be posted on that page.

General Instructions

Caution: Do not sign this form unless all applicable lines have been completed.

Purpose of form. Use Form 4506 to request a copy of your tax return. You can also designate (on line 5) a third party to receive the tax return.

How long will it take? It may take up to 75 calendar days for us to process your request.

Tip. Use Form 4506-T, Request for Transcript of Tax Return, to request tax return transcripts, tax account information, W-2 information, 1099 information, verification of nonfiling, and records of account.

Automated transcript request. You can quickly request transcripts by using our automated self-help service tools. Please visit us at IRS.gov and click on "Get a Tax Transcript..." or call 1-800-908-9946.

Where to file. Attach payment and mail Form 4506 to the address below for the state you lived in, or the state your business was in, when that return was filed. There are two address charts: one for individual returns (Form 1040 series) and one for all other returns.

If you are requesting a return for more than one year or period and the chart below shows two different addresses, send your request to the address based on the address of your most recent return.

Chart for individual returns (Form 1040 series)

If you filed an individual return and lived in:

Mail to:

Alabama, Kentucky, Louisiana, Mississippi, Tennessee, Texas, a foreign country, American Samoa, Puerto Rico, Guam, the Commonwealth of the Northern Mariana Islands, the U.S. Virgin Islands, or A.P.O. or F.P.O. address

Internal Revenue Service
RAIVS Team
Stop 6716 AUSC
Austin, TX 73301

Alaska, Arizona, Arkansas, California, Colorado, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Michigan, Minnesota, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Utah, Washington, Wisconsin, Wyoming

Internal Revenue Service
RAIVS Team
Stop 37106
Fresno, CA 93888

Connecticut, Delaware, District of Columbia, Florida, Georgia, Maine, Maryland, Massachusetts, Missouri, New Hampshire, New Jersey, New York, North Carolina, Ohio, Pennsylvania, Rhode Island, South Carolina, Vermont, Virginia, West Virginia

Internal Revenue Service
RAIVS Team
Stop 6705 P-6
Kansas City, MO 64999

Chart for all other returns

If you lived in or your business was in:

Mail to:

Alabama, Alaska, Arizona, Arkansas, California, Colorado, Florida, Hawaii, Idaho, Iowa, Kansas, Louisiana, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wyoming, a foreign country, American Samoa, Puerto Rico, Guam, the Commonwealth of the Northern Mariana Islands, the U.S. Virgin Islands, or A.P.O. or F.P.O. address

Internal Revenue Service
RAIVS Team
P.O. Box 9941
Mail Stop 6734
Ogden, UT 84409

Connecticut, Delaware, District of Columbia, Georgia, Illinois, Indiana, Kentucky, Maine, Maryland, Massachusetts, Michigan, New Hampshire, New Jersey, New York, North Carolina, Ohio, Pennsylvania, Rhode Island, South Carolina, Tennessee, Vermont, Virginia, West Virginia, Wisconsin

Internal Revenue Service
RAIVS Team
P.O. Box 145500
Stop 2800 F
Cincinnati, OH 45250

Specific Instructions

Line 1b. Enter your employer identification number (EIN) if you are requesting a copy of a business return. Otherwise, enter the first social security number (SSN) or your individual taxpayer identification number (ITIN) shown on the return. For example, if you are requesting Form 1040 that includes Schedule C (Form 1040), enter your SSN.

Line 3. Enter your current address. If you use a P.O. box, please include it on this line 3.

Line 4. Enter the address shown on the last return filed if different from the address entered on line 3.

Note: If the addresses on lines 3 and 4 are different and you have not changed your address with the IRS, file Form 8822, Change of Address. For a business address, file Form 8822-B, Change of Address or Responsible Party — Business.

Signature and date. Form 4506 must be signed and dated by the taxpayer listed on line 1a or 2a. The IRS must receive Form 4506 within 120 days of the date signed by the taxpayer or it will be rejected. Ensure that all applicable lines are completed before signing.



You must check the box in the signature area to acknowledge you have the authority to sign and request the information. The form will not be processed and returned to you if the box is unchecked.

Individuals. Copies of jointly filed tax returns may be furnished to either spouse. Only one signature is required. Sign Form 4506 exactly as your name appeared on the original return. If you changed your name, also sign your current name.

Corporations. Generally, Form 4506 can be signed by: (1) an officer having legal authority to bind the corporation, (2) any person designated by the board of directors or other governing body, or (3) any officer or employee on written request by any principal officer and attested to by the secretary or other officer. A bona fide shareholder of record owning 1 percent or more of the outstanding stock of the corporation may submit a Form 4506 but must provide documentation to support the requester's right to receive the information.

Partnerships. Generally, Form 4506 can be signed by any person who was a member of the partnership during any part of the tax period requested on line 7.

All others. See section 6103(e) if the taxpayer has died, is insolvent, is a dissolved corporation, or if a trustee, guardian, executor, receiver, or administrator is acting for the taxpayer.

Note: If you are Heir at law, Next of kin, or Beneficiary you must be able to establish a material interest in the estate or trust.

Documentation. For entities other than individuals, you must attach the authorization document. For example, this could be the letter from the principal officer authorizing an employee of the corporation or the letters testamentary authorizing an individual to act for an estate.

Signature by a representative. A representative can sign Form 4506 for a taxpayer only if this authority has been specifically delegated to the representative on Form 2848, line 5. Form 2848 showing the delegation must be attached to Form 4506.

Privacy Act and Paperwork Reduction Act

Notice. We ask for the information on this form to establish your right to gain access to the requested return(s) under the Internal Revenue Code. We need this information to properly identify the return(s) and respond to your request. If you request a copy of a tax return, sections 6103 and 6109 require you to provide this information, including your SSN or EIN, to process your request. If you do not provide this information, we may not be able to process your request. Providing false or fraudulent information may subject you to penalties.

Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation, and cities, states, the District of Columbia, and U.S. commonwealths and possessions for use in administering their tax laws. We may also disclose this information to other countries under a tax treaty, to federal and state agencies to enforce federal nontax criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism.

You are not required to provide the information requested on a form that is subject to the Paperwork Reduction Act unless the form displays a valid OMB control number. Books or records relating to a form or its instructions must be retained as long as their contents may become material in the administration of any Internal Revenue law. Generally, tax returns and return information are confidential, as required by section 6103.

The time needed to complete and file Form 4506 will vary depending on individual circumstances. The estimated average time is: **Learning about the law or the form, 10 min.; Preparing the form, 16 min.; and Copying, assembling, and sending the form to the IRS, 20 min.**

If you have comments concerning the accuracy of these time estimates or suggestions for making Form 4506 simpler, we would be happy to hear from you. You can write to:

Internal Revenue Service
Tax Forms and Publications Division
1111 Constitution Ave. NW, IR-6526
Washington, DC 20224.

Do not send the form to this address. Instead, see *Where to file* on this page.

CONSENT TO RELEASE FINANCIAL RECORDS

I, _____ of _____, (City, State), do hereby direct any bank, saving and loan association, credit union, depository institution, finance company, commercial lending company, credit card processor, credit card processing entity, automated clearing house, network transaction processor, bank debit processing entity, automated clearing house, network transaction processor, bank debit processing entity, brokerage house, escrow agent, money market or mutual fund, title company, commodity trading company, trustee, or person that holds, controls, or maintains custody of assets, wherever located, that are owned or controlled by me or at which there is an account of any kind upon which I am authorized to draw, and its officers, employees, and agents, to disclose all information and deliver copies of all documents of every nature in its possession or control which relate to the said accounts to any attorney of the Federal Trade Commission, and to give evidence relevant thereto, in the matter of [_____], now pending in the United States District Court of [_____], and this shall be irrevocable authority for so doing.

This direction is intended to apply to the laws of countries other than the United States of America which restrict or prohibit disclosure of bank or other financial information without the consent of the holder of the account, and shall be construed as consent with respect hereto, and the same shall apply to any of the accounts for which I may be a relevant principal.

Dated: _____

Signature: _____

Printed Name: _____